

LE BERNARDIN INC 401K PLAN

Screens Summary (Data as of 09-30-2023)

🚫 Fund Removed from Approved List ⚠️ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense		ESG Metrics				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Sustain. Rating	Commit. Level	Carbon Risk	
US Stock																		
DFA US Core Equity 1 I	DFEOX	Large Blend	20.53	11.68	8.78	10.81	✔️	18.08	1.00	11.59	✔️	🇺🇸	✔️	0.14	✔️	2	–	Medium Risk
<i>percentile rank</i>			48	12	54	47												
<i># of funds in category</i>			1,562	1,422	1,309	984												
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Vanguard 500 Index Admiral	VFIAX	Large Blend Index	21.57	10.11	9.88	11.87	✔️	17.85	1.00	7.43	✔️	🇺🇸	✔️	0.04	✔️	3	1-Low	Low Risk
<i>percentile rank</i>			30	32	20	9												
<i># of funds in category</i>			1,562	1,422	1,309	984												
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American Funds Growth Fund of Amer R6	RGAGX	Large Growth	23.09	5.15	8.61	11.72	✔️	20.11	0.94	29.93	✔️	🇺🇸	✔️	0.30	✔️	1	1-Low	Low Risk
<i>percentile rank</i>			59	52	61	53												
<i># of funds in category</i>			1,321	1,222	1,135	895												
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DFA US Large Cap Value I	DFLVX	Large Value	16.56	13.49	5.57	8.65	✔️	19.40	1.09	11.59	✔️	🇺🇸	✔️	0.21	✔️	2	–	Medium Risk
<i>percentile rank</i>			35	27	73	42												
<i># of funds in category</i>			1,291	1,210	1,153	883												
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Vanguard Mid-Cap Growth Index Admiral	VMGMX	Mid-Cap Growth	15.06	3.24	7.18	9.46	✔️	21.38	1.01	10.61	✔️	🇺🇸	✔️	0.07	✔️	3	1-Low	Medium Risk
<i>percentile rank</i>			38	42	28	40												
<i># of funds in category</i>			609	568	537	431												
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Vanguard Mid-Cap Value Index Admiral	VMVAX	Mid-Cap Value	10.35	11.31	5.41	8.40	✔️	19.22	0.96	17.13	✔️	🇺🇸	✔️	0.07	✔️	4	1-Low	Medium Risk
<i>percentile rank</i>			77	73	56	27												
<i># of funds in category</i>			430	409	393	298												
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State St Russell Sm Cap® Gr Indx NL CI C		Small Growth	9.50	0.96	1.38	6.58	✔️	22.93	1.00	14.08	✔️	🇺🇸	✔️	0.05	✔️	2	–	Medium Risk
<i>percentile rank</i>			51	64	83	79												
<i># of funds in category</i>			617	586	547	420												
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State St Russell Sm Cp® Val Indx NL CI C		Small Value	7.80	13.19	2.60	6.17	✔️	23.15	1.00	14.08	✔️	🇺🇸	✔️	0.05	✔️	2	–	Medium Risk
<i>percentile rank</i>			90	86	80	58												
<i># of funds in category</i>			507	469	439	346												
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Non-US Stock																		
Vanguard Emerging Mkts Stock Idx Adm	VEMAX	Diversified Emerging Mkts	10.89	-0.22	2.00	2.49	✔️	16.62	0.92	15.10	✔️	🇺🇸	✔️	0.14	✔️	2	1-Low	Medium Risk
<i>percentile rank</i>			70	43	36	37												
<i># of funds in category</i>			853	753	682	417												
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Vanguard Developed Markets Index Admiral	VTMGX	Foreign Large Blend Index	24.01	5.32	3.17	4.01	✔️	19.09	1.09	10.61	✔️	🇺🇸	✔️	0.07	✔️	2	1-Low	Low Risk
<i>percentile rank</i>			48	38	35	30												
<i># of funds in category</i>			800	746	686	460												
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MFS International Equity R6	MIEIX	Foreign Large Growth	23.50	5.92	5.72	5.60	✔️	18.83	1.05	14.01	✔️	🇺🇸	✔️	0.68	✔️	4	2-Basic	Low Risk
<i>percentile rank</i>			52	22	5	5												
<i># of funds in category</i>			800	746	686	460												
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DFA International Value I	DFIVX	Foreign Large Value	31.93	15.70	4.14	4.11	✔️	20.62	1.05	13.59	✔️	🇺🇸	✔️	0.28	✔️	1	–	Medium Risk
<i>percentile rank</i>			24	3	26	15												
<i># of funds in category</i>			401	362	337	218												
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Vanguard Total World Stock Index Admiral	VTWAX	Global Stock	20.64	7.04	–	–	✔️	17.36	1.01	10.61	✔️	—	✔️	0.10	✔️	2	1-Low	Low Risk
<i>percentile rank</i>			35	42	–	–												
<i># of funds in category</i>			389	359	307	205												

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			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating	Commit. Level	Carbon Risk
															Updated	Updated	Updated	
Alternative																		
PIMCO Commodity Real Ret Strat Instl	PCRIX	Commodities Broad Basket	-0.88	16.10	6.73	-0.52	✔️	18.27	1.12	4.92	✔️	—	—	0.99	✔️	3	—	—
<i>percentile rank</i>			57	57	43	62												
<i># of funds in category</i>			114	110	105	66									06-30-2023	—	—	
Vanguard Real Estate Index Admiral	VGSIX	Real Estate	-1.27	2.33	2.44	5.51	✔️	20.72	1.01	27.40	✔️	—	✔️	0.12	✔️	3	1-Low	Low Risk
<i>percentile rank</i>			63	74	56	49												
<i># of funds in category</i>			271	248	230	166									08-31-2023	02-15-2022	12-31-2022	
Fixed Income																		
Loomis Sayles Core Plus Bond N	NERNX	Core Plus Bond	1.37	-4.55	0.62	1.90	✔️	6.87	1.09	26.76	✔️	—	—	0.38	✔️	3	1-Low	—
<i>percentile rank</i>			47	43	25	15												
<i># of funds in category</i>			676	608	568	401									08-31-2023	02-15-2022	—	
PIMCO International Bond (USD-Hdg) Instl	PFORX	Global Bond	4.22	-2.21	1.00	2.99	✔️	4.30	0.57	9.02	✔️	—	—	0.63	✔️	4	—	—
<i>percentile rank</i>			19	10	17	1												
<i># of funds in category</i>			122	114	105	66									08-31-2023	—	—	
Vanguard High-Yield Corporate Adm	VWEAX	High Yield Bond	9.21	0.97	2.82	4.03	✔️	7.83	0.95	1.09	✖️	—	—	0.13	✔️	—	—	—
<i>percentile rank</i>			64	70	35	19												
<i># of funds in category</i>			721	664	621	456									—	—	—	
Vanguard Inflation-Protected Secs Adm	VAIPX	Inflation-Protected Bond	1.04	-2.06	2.00	1.64	✔️	6.81	0.99	1.89	✖️	—	—	0.10	✔️	3	—	—
<i>percentile rank</i>			75	59	52	25												
<i># of funds in category</i>			241	224	220	158									08-31-2023	—	—	
Baird Aggregate Bond Inst	BAGIX	Intermediate-Term Bond	1.41	-5.02	0.44	1.55	✔️	6.29	1.01	23.02	✔️	📄	—	0.30	✔️	3	1-Low	—
<i>percentile rank</i>			24	36	22	11												
<i># of funds in category</i>			529	477	437	321									08-31-2023	02-15-2022	—	
Lincoln NY Stable Value Account -LYGPA		Stable Value	—	—	—	—	—	—	—	27.01	✔️	—	—	—	—	—	—	—
<i>percentile rank</i>			—	—	—	—												
<i># of funds in category</i>			73	—	—	—									—	—	—	
Federated Hermes Govt Ultrashort R6	FGULX	Ultrashort Bond	4.00	1.22	1.56	—	✔️	0.65	0.04	26.24	✔️	—	—	0.24	✔️	—	—	—
<i>percentile rank</i>			90	73	77	—												
<i># of funds in category</i>			243	216	192	107									—	—	—	
Target Date																		
Vanguard Target Retirement 2020 Fund	VTWNX	Target Date	9.36	1.43	3.58	5.24	✔️	10.02	0.87	10.61	✔️	—	✔️	0.08	✔️	3	1-Low	—
<i>percentile rank</i>			45	48	30	20												
<i># of funds in category</i>			169	153	140	68									08-31-2023	02-15-2022	—	
Vanguard Target Retirement 2025 Fund	VTTVX	Target Date	11.56	2.17	4.06	5.79	✔️	11.65	0.93	10.61	✔️	—	✔️	0.08	✔️	3	1-Low	Low Risk
<i>percentile rank</i>			14	32	20	14												
<i># of funds in category</i>			266	243	215	123									08-31-2023	02-15-2022	12-31-2022	
Vanguard Target Retirement 2030 Fund	VTHRX	Target Date	13.14	2.97	4.46	6.28	✔️	12.77	0.94	10.61	✔️	—	✔️	0.08	✔️	3	1-Low	Low Risk
<i>percentile rank</i>			17	42	29	19												
<i># of funds in category</i>			260	239	205	124									08-31-2023	02-15-2022	12-31-2022	

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															Updated	Updated	Updated	
Vanguard Target Retirement 2035 Fund	VTHX	Target Date	14.61	3.89	4.93	6.79	✓	13.72	0.93	10.61	✓	—	✓	0.08	✓	3	1-Low	Low Risk
percentile rank			37	57	39	28												
# of funds in category			258	237	213	120												
														08-31-2023	02-15-2022	12-31-2022		
Vanguard Target Retirement 2040 Fund	VFORX	Target Date	16.15	4.81	5.37	7.26	✓	14.68	0.93	10.61	✓	—	✓	0.08	✓	3	1-Low	Low Risk
percentile rank			48	63	39	28												
# of funds in category			249	229	204	123												
														08-31-2023	02-15-2022	12-31-2022		
Vanguard Target Retirement 2045 Fund	VTIVX	Target Date	17.67	5.72	5.87	7.61	✓	15.68	0.96	10.61	✓	—	✓	0.08	✓	3	1-Low	Low Risk
percentile rank			43	43	31	21												
# of funds in category			263	243	217	123												
														08-31-2023	02-15-2022	12-31-2022		
Vanguard Target Retirement 2050 Fund	VFIFX	Target Date	18.48	5.92	5.99	7.67	✓	15.99	0.96	10.61	✓	—	✓	0.08	✓	3	1-Low	Low Risk
percentile rank			33	48	30	22												
# of funds in category			247	227	204	121												
														08-31-2023	02-15-2022	12-31-2022		
Vanguard Target Retirement 2055 Fund	VFFVX	Target Date	18.48	5.91	5.99	7.65	✓	15.99	0.95	10.61	✓	—	✓	0.08	✓	3	1-Low	Low Risk
percentile rank			40	54	30	21												
# of funds in category			259	235	212	107												
														08-31-2023	02-15-2022	12-31-2022		
Vanguard Target Retirement 2060 Fund	VTTSX	Target Date	18.49	5.91	5.98	7.65	✓	15.97	1.29	10.61	✓	—	✓	0.08	✓	3	1-Low	Low Risk
percentile rank			42	61	35	1												
# of funds in category			244	218	190	10												
														08-31-2023	02-15-2022	12-31-2022		
Vanguard Target Retirement 2065 Fund	VLXVX	Target Date	18.47	5.93	5.97	—	✓	15.98	1.29	6.22	✓	—	✓	0.08	✓	3	1-Low	Low Risk
percentile rank			55	56	50	—												
# of funds in category			186	129	10	—												
														08-31-2023	02-15-2022	12-31-2022		
Vanguard Target Retirement 2070 Fund	VSVNX	Target Date	18.49	—	—	—	—	—	—	1.26	✗	—	✓	0.08	✓	3	—	Low Risk
percentile rank			52	—	—	—												
# of funds in category			186	129	10	—												
														08-31-2023	—	12-31-2022		
Vanguard Target Retirement Income Fund	VTINX	Target Date	7.02	-0.13	2.69	3.60	✓	8.08	0.90	10.61	✓	—	✓	0.08	✓	3	1-Low	—
percentile rank			50	49	30	29												
# of funds in category			196	185	163	99												
														08-31-2023	02-15-2022	—		
Balanced																		
Vanguard LifeStrategy Cnsvr Gr Inv	VSCGX	Target Risk	8.53	-0.12	2.89	4.25	✓	9.71	1.03	1.59	✗	—	✓	0.12	✓	3	1-Low	—
percentile rank			28	79	40	27												
# of funds in category			529	503	468	340												
														08-31-2023	07-19-2023	—		
Vanguard LifeStrategy Growth Inv	VASGX	Target Risk	16.25	4.63	5.36	7.00	✓	14.69	1.03	1.59	✗	—	✓	0.14	✓	3	1-Low	Low Risk
percentile rank			19	58	30	28												
# of funds in category			380	351	328	252												
														08-31-2023	07-19-2023	12-31-2022		
Vanguard LifeStrategy Income Inv	VASIX	Target Risk	4.72	-2.53	1.50	2.76	✓	7.46	1.00	1.59	✗	—	✓	0.11	✓	3	1-Low	—
percentile rank			53	90	60	46												
# of funds in category			178	167	155	113												
														08-31-2023	07-19-2023	—		
Vanguard LifeStrategy Moderate Gr Inv	VSMGX	Target Risk	12.37	2.25	4.15	5.66	✓	12.16	1.00	1.59	✗	—	✓	0.13	✓	3	1-Low	Low Risk
percentile rank			31	79	55	50												
# of funds in category			894	831	784	577												
														08-31-2023	07-19-2023	12-31-2022		