

PIER FISH 401K PLAN

Screens Summary (Data as of 09-30-2023)

🔴 Fund Removed from Approved List 🟡 Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense		ESG Metrics				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Sustain. Rating	Commit. Level	Carbon Risk	
																Updated	Updated	Updated
US Stock																		
Vanguard 500 Index Admiral	VFIAX	Large Blend Index	21.57	10.11	9.88	11.87	✔	17.85	1.00	7.43	✔	🏠	✔	0.04	✔	3	1-Low	Low Risk
<i>percentile rank</i>			30	32	20	9												
<i># of funds in category</i>			1,562	1,422	1,309	984												
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American Funds Growth Fund of Amer R6	RGAGX	Large Growth	23.09	5.15	8.61	11.72	✔	20.11	0.94	29.93	✔	🏠	✔	0.30	✔	1	1-Low	Low Risk
<i>percentile rank</i>			59	52	61	53												
<i># of funds in category</i>			1,321	1,222	1,135	895												
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American Funds Washington Mutual R6	RWMGX	Large Value	18.35	11.69	9.07	10.78	✔	15.84	0.85	26.27	✔	🏠	✖	0.27	✔	2	1-Low	Low Risk
<i>percentile rank</i>			67	12	45	48												
<i># of funds in category</i>			1,562	1,422	1,309	984												
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Vanguard Mid-Cap Value Index Admiral	VMVAX	Mid-Cap Value	10.35	11.31	5.41	8.40	✔	19.22	0.96	17.13	✔	🏠	✔	0.07	✔	4	1-Low	Medium Risk
<i>percentile rank</i>			77	73	56	27												
<i># of funds in category</i>			430	409	393	298												
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State St Russell Sm Cap@ Gr Indx NL CI C		Small Growth	9.50	0.96	1.38	6.58	✔	22.93	1.00	14.08	✔	🏠	✔	0.05	✔	2	-	Medium Risk
<i>percentile rank</i>			51	64	83	79												
<i># of funds in category</i>			617	586	547	420												
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JPMorgan Small Cap Value R6	JSVUX	Small Value	7.24	15.17	3.45	5.88	✔	22.86	0.98	12.92	✔	🏠	✔	0.74	✔	3	-	Medium Risk
<i>percentile rank</i>			92	58	64	66												
<i># of funds in category</i>			507	469	439	346												
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Non-US Stock																		
American Funds New World R6	RNWXG	Diversified Emerging Mkts	17.35	1.56	5.29	5.04	✔	17.07	0.85	24.30	✔	🏠	✔	0.57	✔	3	1-Low	Medium Risk
<i>percentile rank</i>			26	32	9	3												
<i># of funds in category</i>			853	753	682	417												
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American Funds Intl Gr and Inc R6	RIGGX	Foreign Large Blend	24.55	5.67	3.85	3.69	✔	18.68	1.06	15.01	✔	🏠	✔	0.54	✔	2	1-Low	Low Risk
<i>percentile rank</i>			40	29	19	47												
<i># of funds in category</i>			800	746	686	460												
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Vanguard Developed Markets Index Admiral	VTMGX	Foreign Large Blend Index	24.01	5.32	3.17	4.01	✔	19.09	1.09	10.61	✔	🏠	✔	0.07	✔	2	1-Low	Low Risk
<i>percentile rank</i>			48	38	35	30												
<i># of funds in category</i>			800	746	686	460												
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Alternative																		
DFA Global Real Estate Securities Port	DFGEX	Global Real Estate	-0.11	1.05	0.87	4.15	✔	19.60	1.00	13.59	✔	🏠	✔	0.22	✔	4	-	Low Risk
<i>percentile rank</i>			95	37	24	11												
<i># of funds in category</i>			204	190	187	126												
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Fixed Income																		
PIMCO Real Return Instl	PRRIX	Inflation-Protected Bond	1.89	-1.94	2.17	1.71	✔	7.00	1.02	4.73	✔	—	—	0.67	✔	3	-	-
<i>percentile rank</i>			43	48	40	9												
<i># of funds in category</i>			241	224	220	158												

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			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating	Commit. Level	Carbon Risk
Baird Aggregate Bond Inst	BAGIX	Intermediate-Term Bond	1.41	-5.02	0.44	1.55	✔️	6.29	1.01	23.02	✔️	📦	—	0.30	✔️	3	1-Low	—
<i>percentile rank</i>			24	36	22	11												
<i># of funds in category</i>			529	477	437	321									08-31-2023	02-15-2022	—	
PIMCO Income Instl	PIMIX	Multisector Bond	6.76	0.67	2.37	4.00	✔️	5.77	0.84	16.52	✔️	—	—	0.62	✔️	4	—	—
<i>percentile rank</i>			26	25	18	1												
<i># of funds in category</i>			364	333	280	178									08-31-2023	—	—	
Lincoln Stable Value Account -L7NGPA		Stable Value	—	—	—	—	—	—	—	40.44	✔️	—	—	—	—	—	—	
<i>percentile rank</i>			—	—	—	—												
<i># of funds in category</i>			73	—	—	—												
Federated Hermes Govt Ultrashort R6	FGULX	Ultrashort Bond	4.00	1.22	1.56	—	✔️	0.65	0.04	26.24	✔️	—	—	0.24	✔️	—	—	—
<i>percentile rank</i>			90	73	77	—												
<i># of funds in category</i>			243	216	192	107												

Balanced

American Funds American Balanced R6	RLBGX	Moderate Allocation	12.47	4.68	5.76	7.63	✔️	11.88	0.97	24.76	✔️	—	✔️	0.25	✔️	2	1-Low	Low Risk
<i>percentile rank</i>			28	24	19	12												
<i># of funds in category</i>			894	831	784	577									08-31-2023	02-15-2022	12-31-2022	