

## SOLE PROPRIETOR INC

## Screens Summary (Data as of 09-30-2023)

 Fund Removed from Approved List
  Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense		ESG Metrics				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating <i>Updated</i>	Commit. Level <i>Updated</i>	Carbon Risk <i>Updated</i>
<b>US Stock</b>																		
JPMorgan US Equity R6	JUEMX	Large Blend	20.65	10.55	11.05	12.45		17.75	0.99	9.12				0.44		3	2-Basic	Low Risk
<i>percentile rank</i>			46	24	4	2												
<i># of funds in category</i>			1,562	1,422	1,309	984									08-31-2023	03-11-2022	12-31-2022	
Vanguard 500 Index Admiral	VFIAX	Large Blend Index	21.57	10.11	9.88	11.87		17.85	1.00	7.43				0.04		3	1-Low	Low Risk
<i>percentile rank</i>			30	32	20	9												
<i># of funds in category</i>			1,562	1,422	1,309	984									08-31-2023	02-15-2022	12-31-2022	
MFS Growth R6	MFEKX	Large Growth	25.32	3.59	9.63	12.84		20.06	0.95	21.51				0.50		4	2-Basic	Low Risk
<i>percentile rank</i>			44	67	45	27												
<i># of funds in category</i>			1,321	1,222	1,135	895									08-31-2023	02-15-2022	12-31-2022	
American Funds Washington Mutual R6	RWMGX	Large Value	18.35	11.69	9.07	10.78		15.84	0.85	26.27				0.27		2	1-Low	Low Risk
<i>percentile rank</i>			67	12	45	48												
<i># of funds in category</i>			1,562	1,422	1,309	984									08-31-2023	02-15-2022	12-31-2022	
Neuberger Berman Mid Cap Growth R6	NRMGX	Mid-Cap Growth	9.22	0.89	5.67	9.01		20.57	0.95	3.71				0.59		3	–	Medium Risk
<i>percentile rank</i>			82	60	57	54												
<i># of funds in category</i>			609	568	537	431									08-31-2023	–	12-31-2022	
Vanguard Mid-Cap Value Index Admiral	VMVAX	Mid-Cap Value	10.35	11.31	5.41	8.40		19.22	0.96	17.13				0.07		4	1-Low	Medium Risk
<i>percentile rank</i>			77	73	56	27												
<i># of funds in category</i>			430	409	393	298									08-31-2023	02-15-2022	12-31-2022	
MFS New Discovery R6	MNDKX	Small Growth	10.38	-2.60	4.47	7.37		21.54	0.90	9.75				0.88		4	–	Medium Risk
<i>percentile rank</i>			41	80	37	59												
<i># of funds in category</i>			617	586	547	420									08-31-2023	–	12-31-2022	
Delaware Small Cap Value R6	DVZRX	Small Value	9.89	15.35	3.56	–		23.09	0.97	11.25				0.70		3	–	Medium Risk
<i>percentile rank</i>			82	54	63	–												
<i># of funds in category</i>			507	469	439	346									08-31-2023	–	12-31-2022	
<b>Non-US Stock</b>																		
American Funds New World R6	RNWXG	Diversified Emerging Mkts	17.35	1.56	5.29	5.04		17.07	0.85	24.30				0.57		3	1-Low	Medium Risk
<i>percentile rank</i>			26	32	9	3												
<i># of funds in category</i>			853	753	682	417									08-31-2023	02-15-2022	12-31-2022	
American Funds Intl Gr and Inc R6	RIGGX	Foreign Large Blend	24.55	5.67	3.85	3.69		18.68	1.06	15.01				0.54		2	1-Low	Low Risk
<i>percentile rank</i>			40	29	19	47												
<i># of funds in category</i>			800	746	686	460									08-31-2023	02-15-2022	12-31-2022	
Invesco Oppenheimer International Gr R6	OIGIX	Foreign Large Growth	20.90	-0.52	2.94	3.42		21.28	1.09	27.53				0.69		4	–	Low Risk
<i>percentile rank</i>			28	49	43	70												
<i># of funds in category</i>			463	423	370	263									08-31-2023	–	12-31-2022	
Vanguard International Value Inv	VTRIX	Foreign Large Value	23.05	7.80	3.20	3.57		19.53	1.03	13.35				0.38		2	–	Medium Risk
<i>percentile rank</i>			80	61	46	34												
<i># of funds in category</i>			401	362	337	218									08-31-2023	–	12-31-2022	
American Funds New Perspective R6	RNPGX	Global Stock	21.70	4.92	8.36	9.82		19.33	1.11	22.84		–		0.41		2	2-Basic	Low Risk
<i>percentile rank</i>			35	24	13	10												
<i># of funds in category</i>			369	327	288	193									08-31-2023	02-15-2022	12-31-2022	

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			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating	Commit. Level	Carbon Risk	
																	Updated	Updated	Updated
<b>Alternative</b>																			
PIMCO Commodity Real Ret Strat Instl	PCRIX	Commodities Broad Basket	-0.88	16.10	6.73	-0.52	✔	18.27	1.12	4.92	✔	—	—	0.99	✔	3	—	—	
<i>percentile rank</i>			57	57	43	62													
<i># of funds in category</i>			114	110	105	66									06-30-2023	—	—		
Vanguard Real Estate Index Admiral	VGSLX	Real Estate	-1.27	2.33	2.44	5.51	✔	20.72	1.01	27.40	✔	—	✔	0.12	✔	3	1-Low	Low Risk	
<i>percentile rank</i>			63	74	56	49													
<i># of funds in category</i>			271	248	230	166									08-31-2023	02-15-2022	12-31-2022		
<b>Fixed Income</b>																			
PIMCO International Bond (USD-Hdg) Instl	PFORX	Global Bond	4.22	-2.21	1.00	2.99	✔	4.30	0.57	9.02	✔	—	—	0.63	✔	4	—	—	
<i>percentile rank</i>			19	10	17	1													
<i># of funds in category</i>			122	114	105	66									08-31-2023	—	—		
Eaton Vance Income Fund of Boston R6	EIBRX	High Yield Bond	10.23	2.92	3.38	—	✔	7.61	0.93	8.91	✔	—	—	0.66	✔	—	—	—	
<i>percentile rank</i>			37	21	18	—													
<i># of funds in category</i>			721	664	621	456													
BlackRock Inflation Protected Bond K	BPLBX	Inflation-Protected Bond	1.48	-1.78	2.27	1.62	✔	7.01	1.02	6.63	✔	—	—	0.51	✔	3	—	—	
<i>percentile rank</i>			52	46	33	26													
<i># of funds in category</i>			241	224	220	158									08-31-2023	—	—		
Baird Aggregate Bond Inst	BAGIX	Intermediate-Term Bond	1.41	-5.02	0.44	1.55	✔	6.29	1.01	23.02	✔	📊	—	0.30	✔	3	1-Low	—	
<i>percentile rank</i>			24	36	22	11													
<i># of funds in category</i>			529	477	437	321									08-31-2023	02-15-2022	—		
PIMCO Income Instl	PIMIX	Multisector Bond	6.76	0.67	2.37	4.00	✔	5.77	0.84	16.52	✔	—	—	0.62	✔	4	—	—	
<i>percentile rank</i>			26	25	18	1													
<i># of funds in category</i>			364	333	280	178									08-31-2023	—	—		
Lincoln Stable Value Account -Z63		Stable Value	—	—	—	—	—	—	—	40.44	✔	—	—	—	—	—	—	—	
<i>percentile rank</i>			—	—	—	—													
<i># of funds in category</i>			73	—	—	—													
Federated Hermes Govt Ultrashort R6	FGULX	Ultrashort Bond	4.00	1.22	1.56	—	✔	0.65	0.04	26.24	✔	—	—	0.24	✔	—	—	—	
<i>percentile rank</i>			90	73	77	—													
<i># of funds in category</i>			243	216	192	107													
<b>Balanced</b>																			
American Funds American Balanced R6	RLBGX	Moderate Allocation	12.47	4.68	5.76	7.63	✔	11.88	0.97	24.76	✔	—	✔	0.25	✔	2	1-Low	Low Risk	
<i>percentile rank</i>			28	24	19	12													
<i># of funds in category</i>			894	831	784	577									08-31-2023	02-15-2022	12-31-2022		