

TAKSEY NEFF & ASSOCIATES LLC 401K

Screens Summary (Data as of 09-30-2023)

🔴 Fund Removed from Approved List ⚠️ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense		ESG Metrics				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Sustain. Rating	Commit. Level	Carbon Risk	
																Updated	Updated	Updated
US Stock																		
Vanguard Dividend Growth Inv	VDIGX	Large Blend	10.78	8.22	9.20	10.64	✔️	15.88	0.80	17.67	✔️	🇺🇸	✔️	0.30	✔️	4	2-Basic	Low Risk
<i>percentile rank</i>			93	75	42	52												
<i># of funds in category</i>			1,562	1,422	1,309	984												
																08-31-2023	02-15-2022	12-31-2022
Vanguard 500 Index Admiral	VFIAX	Large Blend Index	21.57	10.11	9.88	11.87	✔️	17.85	1.00	7.43	✔️	🇺🇸	✔️	0.04	✔️	3	1-Low	Low Risk
<i>percentile rank</i>			30	32	20	9												
<i># of funds in category</i>			1,562	1,422	1,309	984												
																08-31-2023	02-15-2022	12-31-2022
MFS Growth R6	MFEKX	Large Growth	25.32	3.59	9.63	12.84	✔️	20.06	0.95	21.51	✔️	🇺🇸	✔️	0.50	✔️	4	2-Basic	Low Risk
<i>percentile rank</i>			44	67	45	27												
<i># of funds in category</i>			1,321	1,222	1,135	895												
																08-31-2023	02-15-2022	12-31-2022
Vanguard Value Index Adm	VVIAX	Large Value	14.83	12.41	7.29	9.83	✔️	16.90	0.95	28.77	✔️	🇺🇸	✔️	0.05	✔️	2	1-Low	Medium Risk
<i>percentile rank</i>			50	42	32	13												
<i># of funds in category</i>			1,291	1,210	1,153	883												
																08-31-2023	02-15-2022	12-31-2022
MFS Mid Cap Growth R6	OTCKX	Mid-Cap Growth	15.10	0.42	6.78	10.65	✔️	19.90	0.91	14.87	✔️	🇺🇸	✔️	0.66	✔️	5	2-Basic	Low Risk
<i>percentile rank</i>			38	66	34	13												
<i># of funds in category</i>			609	568	537	431												
																08-31-2023	02-15-2022	12-31-2022
Vanguard Selected Value Inv	VASVX	Mid-Cap Value	26.12	18.31	8.10	8.25	✔️	22.09	1.08	18.61	✔️	🇺🇸	✔️	0.38	✔️	4	-	Medium Risk
<i>percentile rank</i>			5	15	13	33												
<i># of funds in category</i>			430	409	393	298												
																08-31-2023	-	12-31-2022
Franklin Small Cap Growth R6	FSMLX	Small Growth	16.11	-1.78	3.43	7.62	✔️	21.63	0.91	23.43	✔️	🇺🇸	✔️	0.67	✔️	2	-	Medium Risk
<i>percentile rank</i>			11	75	53	51												
<i># of funds in category</i>			617	586	547	420												
																08-31-2023	-	12-31-2022
Delaware Small Cap Value R6	DVZRX	Small Value	9.89	15.35	3.56	-	✔️	23.09	0.97	11.25	✔️	🇺🇸	✔️	0.70	✔️	3	-	Medium Risk
<i>percentile rank</i>			82	54	63	-												
<i># of funds in category</i>			507	469	439	346												
																08-31-2023	-	12-31-2022
Non-US Stock																		
American Funds New World R6	RNWGX	Diversified Emerging Mkts	17.35	1.56	5.29	5.04	✔️	17.07	0.85	24.30	✔️	🇺🇸	✔️	0.57	✔️	3	1-Low	Medium Risk
<i>percentile rank</i>			26	32	9	3												
<i># of funds in category</i>			853	753	682	417												
																08-31-2023	02-15-2022	12-31-2022
Vanguard Developed Markets Index Admiral	VTMGX	Foreign Large Blend Index	24.01	5.32	3.17	4.01	✔️	19.09	1.09	10.61	✔️	🇺🇸	✔️	0.07	✔️	2	1-Low	Low Risk
<i>percentile rank</i>			48	38	35	30												
<i># of funds in category</i>			800	746	686	460												
																08-31-2023	02-15-2022	12-31-2022
Vanguard International Growth Adm	VWILX	Foreign Large Growth	16.10	-4.69	4.86	6.70	✔️	23.40	1.12	13.76	✔️	🇺🇸	✔️	0.34	✔️	3	-	Low Risk
<i>percentile rank</i>			71	82	19	9												
<i># of funds in category</i>			463	423	370	263												
																08-31-2023	-	12-31-2022
Vanguard International Value Inv	VTRIX	Foreign Large Value	23.05	7.80	3.20	3.57	✔️	19.53	1.03	13.35	✔️	🇺🇸	✖️	0.38	✔️	2	-	Medium Risk
<i>percentile rank</i>			80	61	46	34												
<i># of funds in category</i>			401	362	337	218												
																08-31-2023	-	12-31-2022
American Funds New Perspective R6	RNPGX	Global Stock	21.70	4.92	8.36	9.82	✔️	19.33	1.11	22.84	✔️	—	✔️	0.41	✔️	2	2-Basic	Low Risk
<i>percentile rank</i>			35	24	13	10												
<i># of funds in category</i>			369	327	288	193												
																08-31-2023	02-15-2022	12-31-2022

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			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Sustain. Rating Updated	Commit. Level Updated	Carbon Risk Updated	
Alternative																		
PIMCO Commodity Real Ret Strat Instl	PCRIX	Commodities Broad Basket	-0.88	16.10	6.73	-0.52	✔	18.27	1.12	4.92	✔	—	—	0.99	✔	3	—	—
<i>percentile rank</i>			57	57	43	62												
<i># of funds in category</i>			114	110	105	66									06-30-2023	—	—	
Vanguard Real Estate Index Admiral	VGSLX	Real Estate	-1.27	2.33	2.44	5.51	✔	20.72	1.01	27.40	✔	—	✔	0.12	✔	3	1-Low	Low Risk
<i>percentile rank</i>			63	74	56	49												
<i># of funds in category</i>			271	248	230	166									08-31-2023	02-15-2022	12-31-2022	
Fixed Income																		
PGIM Global Total Return R6	PGTQX	Global Bond	7.39	-7.93	-1.26	0.83	✔	10.10	1.00	21.01	✔	—	—	0.53	✔	1	—	—
<i>percentile rank</i>			17	86	37	11												
<i># of funds in category</i>			210	201	185	146									09-30-2022	—	—	
Eaton Vance Income Fund of Boston R6	EIBRX	High Yield Bond	10.23	2.92	3.38	—	✔	7.61	0.93	8.91	✔	—	—	0.66	✔	—	—	—
<i>percentile rank</i>			37	21	18	—												
<i># of funds in category</i>			721	664	621	456									—	—	—	
Vanguard Inflation-Protected Secs Adm	VAIPX	Inflation-Protected Bond	1.04	-2.06	2.00	1.64	✔	6.81	0.99	1.89	✖	—	—	0.10	✔	3	—	—
<i>percentile rank</i>			75	59	52	25												
<i># of funds in category</i>			241	224	220	158									08-31-2023	—	—	
Baird Aggregate Bond Inst	BAGIX	Intermediate-Term Bond	1.41	-5.02	0.44	1.55	✔	6.29	1.01	23.02	✔	📊	—	0.30	✔	3	1-Low	—
<i>percentile rank</i>			24	36	22	11												
<i># of funds in category</i>			529	477	437	321									08-31-2023	02-15-2022	—	
PIMCO Income Instl	PIMIX	Multisector Bond	6.76	0.67	2.37	4.00	✔	5.77	0.84	16.52	✔	—	—	0.62	✔	4	—	—
<i>percentile rank</i>			26	25	18	1												
<i># of funds in category</i>			364	333	280	178									08-31-2023	—	—	
Lincoln Stable Value Account -Z416		Stable Value	—	—	—	—	—	—	—	40.44	✔	—	—	—	—	—	—	
<i>percentile rank</i>			—	—	—	—												
<i># of funds in category</i>			73	—	—	—									—	—	—	
Federated Hermes Govt Ultrashort R6	FGULX	Ultrashort Bond	4.00	1.22	1.56	—	✔	0.65	0.04	26.24	✔	—	—	0.24	✔	—	—	—
<i>percentile rank</i>			90	73	77	—												
<i># of funds in category</i>			243	216	192	107									—	—	—	
Target Date																		
T. Rowe Price Retirement I 2010 I	TRPAX	Target Date	9.70	1.96	3.90	—	✔	9.73	1.01	8.01	✔	—	✔	0.34	✔	3	1-Low	—
<i>percentile rank</i>			6	5	1	—												
<i># of funds in category</i>			128	115	106	51									08-31-2023	02-15-2022	—	
T. Rowe Price Retirement I 2015 I	TRFGX	Target Date	10.20	2.42	4.20	—	✔	10.22	0.96	8.01	✔	—	✔	0.35	✔	3	1-Low	—
<i>percentile rank</i>			6	1	1	—												
<i># of funds in category</i>			137	121	107	47									08-31-2023	02-15-2022	—	
T. Rowe Price Retirement I 2020 I	TRBRX	Target Date	10.92	2.94	4.51	—	✔	10.76	0.93	8.01	✔	—	✔	0.37	✔	3	1-Low	—
<i>percentile rank</i>			9	3	3	—												
<i># of funds in category</i>			169	153	140	68									08-31-2023	02-15-2022	—	

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			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Sustain. Rating	Commit. Level	Carbon Risk		
																	Updated	Updated	Updated
T. Rowe Price Retirement I 2025 I	TRPHX	Target Date	12.04	3.59	4.96	–	✔	11.77	0.94	8.01	✔	–	✔	0.38	✔	3	1-Low	Low Risk	
<i>percentile rank</i>			6	1	1	–													
<i># of funds in category</i>			266	243	215	123									08-31-2023	02-15-2022	12-31-2021		
T. Rowe Price Retirement I 2030 I	TRPCX	Target Date	13.81	4.25	5.39	–	✔	13.23	0.97	8.01	✔	–	✔	0.40	✔	3	1-Low	Low Risk	
<i>percentile rank</i>			6	4	1	–													
<i># of funds in category</i>			260	239	205	124									08-31-2023	02-15-2022	12-31-2022		
T. Rowe Price Retirement I 2035 I	TRPJX	Target Date	15.83	4.98	5.83	–	✔	14.52	0.99	8.01	✔	–	✔	0.42	✔	3	1-Low	Low Risk	
<i>percentile rank</i>			8	7	3	–													
<i># of funds in category</i>			258	237	213	120									08-31-2023	02-15-2022	12-31-2022		
T. Rowe Price Retirement I 2040 I	TRPDX	Target Date	17.57	5.65	6.26	–	✔	15.60	0.99	8.01	✔	–	✔	0.43	✔	3	1-Low	Low Risk	
<i>percentile rank</i>			13	15	3	–													
<i># of funds in category</i>			249	229	204	123									08-31-2023	02-15-2022	12-31-2022		
T. Rowe Price Retirement I 2045 I	TRPKX	Target Date	18.51	6.19	6.54	–	✔	16.14	0.98	8.01	✔	–	✔	0.44	✔	3	1-Low	Low Risk	
<i>percentile rank</i>			17	21	3	–													
<i># of funds in category</i>			263	243	217	123									08-31-2023	02-15-2022	12-31-2022		
T. Rowe Price Retirement I 2050 I	TRPMX	Target Date	18.92	6.24	6.58	–	✔	16.31	0.98	8.01	✔	–	✔	0.45	✔	3	1-Low	Low Risk	
<i>percentile rank</i>			19	23	3	–													
<i># of funds in category</i>			247	227	204	121									08-31-2023	02-15-2022	12-31-2022		
T. Rowe Price Retirement I 2055 I	TRPNX	Target Date	19.02	6.24	6.57	–	✔	16.33	0.97	8.01	✔	–	✔	0.46	✔	3	1-Low	Low Risk	
<i>percentile rank</i>			26	32	3	–													
<i># of funds in category</i>			259	235	212	107									08-31-2023	02-15-2022	12-31-2022		
T. Rowe Price Retirement I 2060 I	TRPLX	Target Date	18.93	6.23	6.58	–	✔	16.33	1.31	8.01	✔	–	✔	0.46	✔	3	1-Low	Low Risk	
<i>percentile rank</i>			29	38	3	–													
<i># of funds in category</i>			244	218	190	10									08-31-2023	02-15-2022	12-31-2022		
T. Rowe Price Retirement I 2065 I	TRFKX	Target Date	18.90	–	–	–	✔	–	–	2.96	✖	–	✔	0.46	✔	3	1-Low	Low Risk	
<i>percentile rank</i>			39	–	–	–													
<i># of funds in category</i>			186	129	10	–									08-31-2023	02-15-2022	12-31-2022		

Balanced

Vanguard LifeStrategy Cnsvr Gr Inv	VSCGX	Target Risk	8.53	-0.12	2.89	4.25	✔	9.71	1.03	1.59	✖	–	✔	0.12	✔	3	1-Low	–
<i>percentile rank</i>			28	79	40	27												
<i># of funds in category</i>			529	503	468	340									08-31-2023	07-19-2023	–	
Vanguard LifeStrategy Growth Inv	VASGX	Target Risk	16.25	4.63	5.36	7.00	✔	14.69	1.03	1.59	✖	–	✔	0.14	✔	3	1-Low	Low Risk
<i>percentile rank</i>			19	58	30	28												
<i># of funds in category</i>			380	351	328	252									08-31-2023	07-19-2023	12-31-2022	
Vanguard LifeStrategy Income Inv	VASIX	Target Risk	4.72	-2.53	1.50	2.76	✔	7.46	1.00	1.59	✖	–	✔	0.11	✔	3	1-Low	–
<i>percentile rank</i>			53	90	60	46												
<i># of funds in category</i>			178	167	155	113									08-31-2023	07-19-2023	–	
Vanguard LifeStrategy Moderate Gr Inv	VSMGX	Target Risk	12.37	2.25	4.15	5.66	✔	12.16	1.00	1.59	✖	–	✔	0.13	✔	3	1-Low	Low Risk
<i>percentile rank</i>			31	79	55	50												
<i># of funds in category</i>			894	831	784	577									08-31-2023	07-19-2023	12-31-2022	