

UTE TRIBAL ENTERPRISES 401K PLAN

Screens Summary (Data as of 09-30-2024)

🔴 Fund Removed from Approved List 🟡 Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense		ESG Metrics				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Sustain. Rating	Commit. Level	Carbon Risk	
																Updated	Updated	Updated
US Stock																		
Fidelity 500 Index	FXAIX	Large Blend Index	36.33	11.90	15.96	13.37	✔️	17.52	1.00	15.67	✔️	🇺🇸	✔️	0.02	✔️	3	1-Low	Low Risk
percentile rank			23	20	19	8												
# of funds in category			1,497	1,348	1,236	919												

JPMorgan Large Cap Growth R6	JLGMX	Large Growth	44.56	11.64	21.25	17.81	✔️	19.90	0.94	20.18	✔️	🇺🇸	✔️	0.44	✔️	2	2-Basic	Low Risk
percentile rank			17	13	5	3												
# of funds in category			1,172	1,107	1,034	803												

Putnam Large Cap Value R6	PEQSX	Large Value	33.60	13.81	15.13	11.91	✔️	15.47	0.92	12.10	✔️	🇺🇸	✔️	0.55	✔️	2	-	Medium Risk
percentile rank			8	3	3	3												
# of funds in category			1,194	1,108	1,054	820												

Vanguard Mid Cap Index Admiral	VIMAX	Mid-Cap Blend	28.79	5.31	11.22	10.21	✔️	19.35	0.98	1.62	⚠️	🇺🇸	✔️	0.05	✔️	4	1-Low	Medium Risk
percentile rank			34	72	54	26												
# of funds in category			471	433	391	264												

Vanguard Small Cap Index Adm	VSMAX	Small Blend	27.43	4.36	10.66	9.64	✔️	21.07	0.92	8.43	✔️	🇺🇸	✔️	0.05	✔️	4	1-Low	Medium Risk
percentile rank			25	48	40	22												
# of funds in category			616	591	569	404												

Non-US Stock																		
American Funds New World R6	RNWXG	Diversified Emerging Mkts	24.64	1.39	8.37	6.92	✔️	15.99	0.80	25.31	✔️	🇺🇸	✔️	0.57	✔️	3	1-Low	Medium Risk
percentile rank			38	38	18	1												
# of funds in category			805	721	647	431												

MFS International Equity R6	MIEIX	Foreign Large Growth	26.86	7.03	9.88	7.75	✔️	17.34	1.02	15.01	✔️	🇺🇸	✔️	0.68	✔️	4	2-Basic	Low Risk
percentile rank			14	8	8	5												
# of funds in category			789	723	675	442												

Alternative																		
Cohen & Steers Instl Realty Shares	CSRIX	Real Estate	35.99	4.57	6.56	9.14	✔️	21.45	0.99	16.97	✔️	—	✔️	0.75	✔️	2	-	Low Risk
percentile rank			12	12	12	6												
# of funds in category			247	238	219	156												

Fixed Income																		
PIMCO Income Instl	PIMIX	Multisector Bond	12.73	2.43	3.61	4.36	✔️	6.61	0.81	17.52	✔️	—	—	0.83	✔️	4	-	-
percentile rank			51	23	21	3												
# of funds in category			363	331	282	195												

Lincoln Stable Value Account -LNGPA		Stable Value	-	-	-	-	—	-	-	41.44	✔️	—	—	-	-	-	-	-
percentile rank			-	-	-	-												
# of funds in category			14	-	-	-												

Balanced																		
Invesco Equity and Income R6	IEIFX	Moderate Allocation	21.42	6.26	9.62	7.74	⚠️	12.86	0.84	21.44	✔️	—	✔️	0.41	✔️	3	-	Medium Risk
percentile rank			78	27	35	51												
# of funds in category			313	307	280	231												

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															Updated	Updated	Updated	
Janus Henderson Balanced N	JABNX	Moderate Allocation	26.32	6.20	9.53	9.11	✔	12.64	1.02	8.76	✔	—	✔	0.57	✔	4	—	Low Risk
<i>percentile rank</i>			14	22	19	8												
<i># of funds in category</i>			743	694	655	497									08-31-2024	—	12-31-2022	