

SOLE PROPRIETOR INC

Screens Summary (Data as of 03-31-2019)

Fund Removed from Approved List

Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	
US Stock																
T. Rowe Price Dividend Growth Advisor <i>percentile rank</i> <i># of funds in category</i>	TADGX	Large Blend	12.34 7 1,418	13.06 33 1,224	10.73 15 1,085	14.99 46 817		9.45	0.85	19.01				0.91		
Dreyfus S&P 500 Index <i>percentile rank</i> <i># of funds in category</i>	PEOPX	Large Blend Index	8.94 34 1,418	12.94 35 1,224	10.35 26 1,085	15.37 33 817		10.74	1.00	19.01				0.50		
Fidelity® Contrafund® <i>percentile rank</i> <i># of funds in category</i>	FCNTX	Large Growth	8.78 67 1,405	15.89 41 1,269	12.20 42 1,125	16.22 45 815		12.70	1.01	28.55				0.81		
American Funds Washington Mutual R4 <i>percentile rank</i> <i># of funds in category</i>	RWMEX	Large Value	8.56 41 1,418	12.70 42 1,224	9.67 42 1,085	14.82 50 817		9.24	0.83	21.76				0.64		
Neuberger Berman Mid Cap Growth R3 <i>percentile rank</i> <i># of funds in category</i>	NMGRX	Mid-Cap Growth	7.20 61 616	14.42 47 556	9.31 50 496	— — 353		14.53	1.09	16.17				1.36		
American Century Mid Cap Value Inv <i>percentile rank</i> <i># of funds in category</i>	ACMVX	Mid-Cap Value	0.81 44 417	8.69 52 368	8.26 9 318	15.18 38 225		12.13	0.99	15.01				0.96		
Carillon Eagle Small Cap Growth R3 <i>percentile rank</i> <i># of funds in category</i>	HSRRX	Small Growth	3.43 76 681	13.60 70 592	7.83 64 525	16.01 55 396		16.27	0.97	23.26				1.32		
Delaware Small Cap Value A <i>percentile rank</i> <i># of funds in category</i>	DEV LX	Small Value	-3.58 56 440	9.77 24 393	5.57 21 346	14.84 37 238		15.84	0.96	21.93				1.15		
Non-US Stock																
JPMorgan Emerging Markets Equity R4 <i>percentile rank</i> <i># of funds in category</i>	JHUKX	Diversified Emerging Mkts	-2.85 5 833	— — 707	— — 553	— — 239		—	—	—	13.59				1.04	
Dreyfus International Stock Index Inv <i>percentile rank</i> <i># of funds in category</i>	DIISX	Foreign Large Blend Index	-4.36 38 753	6.95 46 644	1.92 58 514	8.44 52 384		10.26	0.95	11.95				0.60		
Oppenheimer International Growth R <i>percentile rank</i> <i># of funds in category</i>	OIGNX	Foreign Large Growth	-10.72 96 442	2.88 99 373	0.74 96 314	9.57 56 235		11.77	1.05	23.03				1.36		
Vanguard International Value Inv <i>percentile rank</i> <i># of funds in category</i>	VTRIX	Foreign Large Value	-5.86 38 314	7.92 18 275	1.78 19 225	8.47 33 148		10.08	0.93	10.88				0.38		
American Funds New Perspective R4 <i>percentile rank</i> <i># of funds in category</i>	RNPEX	World Stock	4.99 26 904	12.98 16 738	8.79 13 622	13.68 17 376		10.83	0.93	18.34				0.79		

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Alternative															
PIMCO Commodity Real Ret Strat A	PCRAX	Commodities Broad Basket	-5.97	2.47	-9.34	-0.67		10.75	1.07	11.25		—	—	1.69	
<i>percentile rank</i>			58	56	74	16									
<i># of funds in category</i>			125	110	94	34									
T. Rowe Price Global Real Estate Adv	PAGEX	Global Real Estate	9.18	3.78	5.34	13.44		11.21	0.93	4.00				1.15	
<i>percentile rank</i>			69	94	72	45									
<i># of funds in category</i>			221	198	154	113									
Fixed Income															
Eaton Vance Income Fund of Boston A	EVIBX	High Yield Bond	4.58	6.21	3.94	10.43		3.81	0.81	23.26		—	—	0.99	
<i>percentile rank</i>			49	70	31	23									
<i># of funds in category</i>			701	611	520	332									
BlackRock Inflation Protected Bond Inv A	BPRAX	Inflation- Protected Bond	1.65	1.37	1.12	2.76		2.94	0.96	2.12		—	—	0.75	
<i>percentile rank</i>			86	66	67	67									
<i># of funds in category</i>			225	200	170	105									
Baird Aggregate Bond Inv	BAGSX	Intermediate- Term Bond	4.27	2.30	2.87	4.91		2.99	1.00	18.51			—	0.55	
<i>percentile rank</i>			40	50	27	38									
<i># of funds in category</i>			1,024	901	769	564									
PIMCO Income A	PONAX	Multisector Bond	3.49	5.91	5.07	10.00		1.74	0.41	12.01		—	—	1.12	
<i>percentile rank</i>			40	14	2	2									
<i># of funds in category</i>			326	294	228	131									
Federated Government Ultrashrt Dur Svc	FEUSX	Ultrashort Bond	1.92	1.24	0.73	0.61		0.25	0.03	21.74		—	—	0.36	
<i>percentile rank</i>			90	84	84	87									
<i># of funds in category</i>			187	151	134	63									
Templeton Global Bond A	TPINX	World Bond	1.80	3.90	1.64	5.37		5.87	-0.17	17.26		—	—	0.86	
<i>percentile rank</i>			46	14	33	25									
<i># of funds in category</i>			308	257	239	145									
Target Date															
American Funds 2010 Trgt Date Retire R4	RDATX	Target Date	4.07	5.67	4.59	8.87		4.10	0.90	12.17		—		0.69	
<i>percentile rank</i>			19	53	24	27									
<i># of funds in category</i>			138	113	92	72									
American Funds 2015 Trgt Date Retire R4	RDBTX	Target Date	4.26	6.10	4.87	9.42		4.45	0.89	12.17		—		0.68	
<i>percentile rank</i>			22	59	34	26									
<i># of funds in category</i>			139	112	83	50									
American Funds 2020 Trgt Date Retire R4	RDCTX	Target Date	4.23	6.75	5.34	10.29		4.88	0.87	12.17		—		0.69	
<i>percentile rank</i>			23	47	20	14									
<i># of funds in category</i>			252	205	167	106									
American Funds 2025 Trgt Date Retire R4	RDDTX	Target Date	4.06	7.80	5.89	11.43		5.82	0.92	12.17		—		0.71	
<i>percentile rank</i>			24	41	13	8									
<i># of funds in category</i>			228	188	148	74									

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American Funds 2030 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDETX	Target Date	4.11 22 241	9.27 18 195	6.74 2 157	12.25 8 96		6.91	0.95	12.17		—		0.73	
American Funds 2035 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDFTX	Target Date	4.25 19 223	10.46 9 183	7.39 2 143	12.63 6 69		8.14	0.99	12.17		—		0.74	
American Funds 2040 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDGTX	Target Date	4.26 18 241	10.89 4 195	7.61 2 157	12.82 8 96		8.58	0.96	12.17		—		0.75	
American Funds 2045 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDHTX	Target Date	4.25 17 223	11.10 3 183	7.74 2 143	12.87 6 68		8.71	0.93	12.17		—		0.75	
American Funds 2050 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDITX	Target Date	4.31 13 241	11.24 1 195	7.82 2 157	12.92 6 78		8.85	0.94	12.17		—		0.77	
American Funds 2055 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDJTX	Target Date	4.27 12 223	11.22 3 181	7.81 2 135	— — 9		8.84	0.93	9.16		—		0.77	
American Funds 2060 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDKTX	Target Date	4.26 16 206	11.19 5 125	— — 10	— —		8.86	0.93	4.01		—		0.79	

Balanced

BlackRock Global Allocation Inv A <i>percentile rank</i> <i># of funds in category</i>	MDLOX	World Allocation	-0.34 59 467	5.46 72 397	3.17 53 323	7.23 84 165		6.39	0.97	15.26				1.07	
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