

**BAX ENGINEERING COMPANY 401K PLAN**

## Screens Summary (Data as of 03-31-2020)

Fund Removed from Approved List

Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense			
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail
<b>US Stock</b>															
American Funds Invmt Co of Amer R6 <i>percentile rank</i> <i># of funds in category</i>	RICGX	Large Blend	-9.24 53 1,377	2.49 62 1,220	5.15 47 1,054	9.04 55 806		14.02	0.91	28.10				0.28	
Vanguard 500 Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VFIAX	Large Blend Index	-7.01 26 1,377	5.07 20 1,220	6.69 12 1,054	10.49 7 806		15.22	1.00	3.93				0.04	
American Funds Growth Fund of Amer R6 <i>percentile rank</i> <i># of funds in category</i>	RGAGX	Large Growth	-4.10 61 1,359	7.55 63 1,241	8.39 43 1,096	11.02 53 818		15.22	0.96	26.43				0.32	
American Funds Washington Mutual R6 <i>percentile rank</i> <i># of funds in category</i>	RWMGX	Large Value	-10.45 62 1,377	3.57 49 1,220	5.67 38 1,054	9.96 29 806		14.11	0.91	22.76				0.29	
ClearBridge Mid Cap IS <i>percentile rank</i> <i># of funds in category</i>	LSIRX	Mid-Cap Blend	-16.92 21 402	-2.71 40 363	0.51 33 288	7.99 17 216		18.98	1.03	14.90				0.75	
American Century Mid Cap Value I <i>percentile rank</i> <i># of funds in category</i>	AVUAX	Mid-Cap Value	-17.40 8 426	-4.08 13 388	1.74 6 345	8.17 5 241		17.91	0.90	16.01				0.76	
Carillon Eagle Small Cap Growth I <i>percentile rank</i> <i># of funds in category</i>	HSIIX	Small Growth	-18.60 59 635	-1.20 68 577	1.88 65 503	8.93 55 383		20.81	0.99	24.27				0.76	
Delaware Small Cap Value Instl <i>percentile rank</i> <i># of funds in category</i>	DEVIX	Small Value	-28.20 24 432	-9.72 31 407	-2.05 19 356	5.75 12 250		23.22	1.02	22.93				0.90	
<b>Non-US Stock</b>															
American Funds New World R6 <i>percentile rank</i> <i># of funds in category</i>	RNWGX	Diversified Emerging Mkts	-12.42 11 825	1.81 7 707	2.23 4 580	3.77 1 238		14.98	0.82	20.80				0.61	
American Funds Europacific Growth R6 <i>percentile rank</i> <i># of funds in category</i>	REGX	Foreign Large Blend	-12.70 85 466	0.28 69 409	0.91 57 340	3.97 59 251		15.57	1.03	18.84				0.49	
Vanguard Developed Markets Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VTMGX	Foreign Large Blend Index	-15.87 57 747	-2.41 52 642	-0.59 32 506	2.74 25 374		15.33	1.00	7.11				0.07	
Vanguard International Value Inv <i>percentile rank</i> <i># of funds in category</i>	VTRIX	Foreign Large Value	-18.92 33 333	-3.52 16 299	-1.86 15 247	1.61 30 162		16.13	1.09	11.88				0.37	
American Funds New Perspective R6 <i>percentile rank</i> <i># of funds in category</i>	RNPGX	World Stock	-6.37 21 867	5.94 17 756	6.08 13 624	8.68 10 378		14.87	0.93	19.34				0.44	

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<b>Alternative</b>															
PIMCO Commodity Real Return Strat I2 <i>percentile rank</i> <i># of funds in category</i>	PCRPX	Commodities Broad Basket	-26.45 71 129	-10.26 77 109	-8.64 73 95	-6.27 22 35		15.09	1.22	5.25		—	—	1.56	
American Century Real Estate I <i>percentile rank</i> <i># of funds in category</i>	REAIX	Real Estate	-13.80 16 263	-0.04 24 229	0.72 34 202	8.37 17 138		16.68	0.91	11.38		—		0.96	
<b>Fixed Income</b>															
Eaton Vance Income Fund of Boston I <i>percentile rank</i> <i># of funds in category</i>	EIBIX	High Yield Bond	-6.44 35 701	0.39 34 623	2.24 28 544	5.25 17 339		7.38	0.90	5.41		—	—	0.79	
PIMCO Real Return I2 <i>percentile rank</i> <i># of funds in category</i>	PRLPX	Inflation- Protected Bond	5.51 36 218	2.92 35 196	2.20 34 173	3.24 26 115		3.40	0.96	1.22		—	—	1.08	
Baird Aggregate Bond Inst <i>percentile rank</i> <i># of funds in category</i>	BAGIX	Intermediate- Term Bond	7.55 40 430	4.54 25 385	3.37 9 332	4.55 6 254		3.44	1.03	19.52			—	0.30	
PIMCO Income I2 <i>percentile rank</i> <i># of funds in category</i>	PONPX	Multisector Bond	-3.26 43 316	1.84 19 274	3.49 6 229	7.24 1 128		5.20	0.77	13.01		—	—	1.15	
Federated Government Ultrashrt Dur Instl <i>percentile rank</i> <i># of funds in category</i>	FGUSX	Ultrashort Bond	1.80 21 204	1.69 28 164	1.10 65 131	0.75 75 61		0.38	0.04	22.74		—	—	0.26	
PIMCO International Bond (USD-Hdg) I-2 <i>percentile rank</i> <i># of funds in category</i>	PFBPX	World Bond	2.13 67 106	3.48 24 74	3.12 12 58	5.24 2 37		3.09	0.53	5.52		—	—	0.65	
<b>Balanced</b>															
American Funds American Balanced R6 <i>percentile rank</i> <i># of funds in category</i>	RLBGX	Moderate Allocation	-2.07 15 689	4.64 10 641	5.66 5 562	8.67 4 413		8.94	0.96	21.26		—		0.27	