

SOLE PROPRIETOR INC

Screens Summary (Data as of 03-31-2020)

Fund Removed from Approved List

Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	
US Stock																
T. Rowe Price Dividend Growth Advisor <i>percentile rank</i> <i># of funds in category</i>	TADGX	Large Blend	-5.99 18 1,377	5.92 10 1,220	6.86 9 1,054	10.18 20 806		13.49	0.87	20.01				0.91		
BNY Mellon S&P 500 Index <i>percentile rank</i> <i># of funds in category</i>	PEOPX	Large Blend Index	-7.46 35 1,377	4.58 30 1,220	6.19 25 1,054	9.99 28 806		15.23	1.00	20.01				0.50		
Fidelity® Contrafund® <i>percentile rank</i> <i># of funds in category</i>	FCNTX	Large Growth	-2.00 44 1,359	9.68 42 1,241	8.96 36 1,096	11.90 36 818		15.73	1.01	29.56				0.85		
American Funds Washington Mutual R4 <i>percentile rank</i> <i># of funds in category</i>	RWMEX	Large Value	-10.76 64 1,377	3.22 54 1,220	5.30 46 1,054	9.57 42 806		14.09	0.91	22.76				0.64		
Neuberger Berman Mid Cap Growth R3 <i>percentile rank</i> <i># of funds in category</i>	NMGRX	Mid-Cap Growth	-8.40 34 611	5.82 41 567	4.41 51 498	9.76 48 386		17.90	1.02	17.18				1.33		
American Century Mid Cap Value Inv <i>percentile rank</i> <i># of funds in category</i>	ACMVX	Mid-Cap Value	-17.52 8 426	-4.27 14 388	1.54 8 345	7.95 6 241		17.88	0.90	16.01				0.96		
Carillon Eagle Small Cap Growth R3 <i>percentile rank</i> <i># of funds in category</i>	HSRRX	Small Growth	-19.06 62 635	-1.78 71 577	1.28 72 503	8.34 66 383		20.79	0.99	24.27				1.34		
Delaware Small Cap Value A <i>percentile rank</i> <i># of funds in category</i>	DEV LX	Small Value	-28.38 25 432	-9.95 34 407	-2.30 20 356	5.49 16 250		23.21	1.02	22.93				1.15		
Non-US Stock																
JPMorgan Emerging Markets Equity R4 <i>percentile rank</i> <i># of funds in category</i>	JHUKX	Diversified Emerging Mkts	-11.70 8 825	-	-	-	-	-	-	14.59				1.04		
BNY Mellon International Stock Index Inv <i>percentile rank</i> <i># of funds in category</i>	DIISX	Foreign Large Blend Index	-14.61 37 747	-2.11 43 642	-0.94 49 506	2.30 47 374		14.47	0.95	12.95				0.60		
Invesco Oppenheimer International Gr R <i>percentile rank</i> <i># of funds in category</i>	OIGNX	Foreign Large Growth	-7.80 46 466	-1.13 90 409	0.06 74 340	4.02 59 251		15.69	1.02	24.03				1.35		
Vanguard International Value Inv <i>percentile rank</i> <i># of funds in category</i>	VTRIX	Foreign Large Value	-18.92 33 333	-3.52 16 299	-1.86 15 247	1.61 30 162		16.13	1.09	11.88				0.37		
American Funds New Perspective R4 <i>percentile rank</i> <i># of funds in category</i>	RNPEX	World Stock	-6.69 23 867	5.57 18 756	5.71 15 624	8.30 13 378		14.87	0.93	19.34				0.79		

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Alternative															
PIMCO Commodity Real Ret Strat A	PCRAX	Commodities Broad Basket	-26.65	-10.58	-8.96	-6.61	✔	15.04	1.21	5.25	✔	—	—	1.91	✘
<i>percentile rank</i>			72	81	77	25									
<i># of funds in category</i>			129	109	95	35									
T. Rowe Price Global Real Estate Adv	PAGEX	Global Real Estate	-17.61	-2.67	-1.53	4.94	✔	15.61	0.87	5.00	✔	☒	✔	1.15	✔
<i>percentile rank</i>			26	65	66	36									
<i># of funds in category</i>			216	192	164	107									
Fixed Income															
Eaton Vance Income Fund of Boston A	EVIBX	High Yield Bond	-6.67	0.14	1.99	4.99	✔	7.38	0.90	5.41	✔	—	—	1.04	✔
<i>percentile rank</i>			40	46	39	28									
<i># of funds in category</i>			701	623	544	339									
BlackRock Inflation Protected Bond Inv A	BPRAX	Inflation-Protected Bond	5.34	2.61	1.79	2.65	✔	3.38	0.97	3.13	✔	—	—	0.90	✘
<i>percentile rank</i>			37	46	51	57									
<i># of funds in category</i>			218	196	173	115									
Baird Aggregate Bond Inv	BAGSX	Intermediate-Term Bond	7.30	4.28	3.12	4.29	✔	3.44	1.03	19.52	✔	☒	—	0.55	✔
<i>percentile rank</i>			44	37	29	9									
<i># of funds in category</i>			430	385	332	254									
PIMCO Income A	PONAX	Multisector Bond	-3.55	1.53	3.18	6.92	✔	5.20	0.77	13.01	✔	—	—	1.45	✘
<i>percentile rank</i>			47	26	8	2									
<i># of funds in category</i>			316	274	229	128									
Federated Government Ultrashrt Dur Svc	FEUSX	Ultrashort Bond	1.71	1.59	1.00	0.66	✘	0.36	0.04	22.74	✔	—	—	0.36	✔
<i>percentile rank</i>			24	38	76	79									
<i># of funds in category</i>			204	164	131	61									
Templeton Global Bond A	TPINX	World Bond	-5.76	-1.63	0.23	2.33	✔	6.34	-0.63	18.26	✔	—	—	0.85	✔
<i>percentile rank</i>			63	81	77	52									
<i># of funds in category</i>			324	287	240	80									
Target Date															
American Funds 2010 Trgt Date Retire R4	RDATX	Target Date	-1.22	2.73	3.22	5.57	✔	5.78	0.91	13.17	✔	—	✔	0.66	✔
<i>percentile rank</i>			57	54	41	26									
<i># of funds in category</i>			129	92	80	58									
American Funds 2015 Trgt Date Retire R4	RDBTX	Target Date	-1.77	2.80	3.34	5.89	✔	6.39	0.91	13.17	✔	—	✔	0.66	✔
<i>percentile rank</i>			45	51	25	26									
<i># of funds in category</i>			129	99	74	47									
American Funds 2020 Trgt Date Retire R4	RDCTX	Target Date	-1.71	3.26	3.72	6.50	✔	6.86	0.87	13.17	✔	—	✔	0.66	✔
<i>percentile rank</i>			22	22	6	3									
<i># of funds in category</i>			233	198	156	94									
American Funds 2025 Trgt Date Retire R4	RDDTX	Target Date	-2.28	3.66	4.12	7.26	✔	8.07	0.90	13.17	✔	—	✔	0.68	✔
<i>percentile rank</i>			7	9	1	2									
<i># of funds in category</i>			235	199	160	84									

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American Funds 2030 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDETX	Target Date	-4.09 9 244	3.74 10 209	4.40 1 167	7.68 2 96		9.64	0.92	13.17		—		0.70	
American Funds 2035 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDFTX	Target Date	-6.30 19 232	3.62 4 196	4.51 1 157	7.75 2 81		11.68	0.96	13.17		—		0.72	
American Funds 2040 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDGTX	Target Date	-7.50 12 244	3.40 4 209	4.45 2 167	7.77 2 96		12.46	0.92	13.17		—		0.73	
American Funds 2045 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDHTX	Target Date	-7.70 6 232	3.43 3 196	4.52 2 157	7.80 2 80		12.69	0.88	13.17		—		0.73	
American Funds 2050 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDITX	Target Date	-7.85 5 244	3.44 3 209	4.54 1 167	7.83 2 78		12.89	0.88	13.17		—		0.74	
American Funds 2055 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDJTX	Target Date	-7.89 6 232	3.42 3 194	4.53 1 150	7.81 6 31		12.90	0.88	10.17		—		0.75	
American Funds 2060 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDKTX	Target Date	-7.85 5 228	3.44 4 150	4.52 3 54	— — —		12.88	0.87	5.02		—		0.76	

Balanced

BlackRock Global Allocation Inv A <i>percentile rank</i> <i># of funds in category</i>	MDLOX	World Allocation	-4.44 12 476	0.96 19 406	1.44 26 338	3.94 47 210		9.08	0.96	3.25				1.08	
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