

# HUNTLEIGH SECURITIES CORP 401K PLAN

## Screens Summary (Data as of 03-31-2021)

⊖ Fund Removed from Approved List    ⚠ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	
<b>US Stock</b>																
JPMorgan US Equity R6 <i>percentile rank</i> <i># of funds in category</i>	JUEMX	Large Blend	61.13 28 1,356	18.91 3 1,230	17.76 3 1,074	14.54 2 812	✔	19.08	1.03	6.62	✔	☒	✖	0.44	✔	
Vanguard FTSE Social Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VFTAX	Large Blend Index	60.37 32 1,356	— — 1,230	— — 1,074	— — 812	—	—	—	5.28	✔	☒	✖	0.14	✔	
JPMorgan Large Cap Growth R6 <i>percentile rank</i> <i># of funds in category</i>	JLGMX	Large Growth	77.58 12 1,284	27.90 6 1,188	26.15 5 1,071	18.07 7 790	✔	21.52	1.08	16.67	✔	☒	✔	0.44	✔	
American Funds Washington Mutual R6 <i>percentile rank</i> <i># of funds in category</i>	RWMGX	Large Value	49.49 85 1,356	13.40 78 1,230	14.13 71 1,074	12.75 50 812	✔	16.98	0.90	23.76	✔	☒	✔	0.27	✔	
MFS Mid Cap Growth R6 <i>percentile rank</i> <i># of funds in category</i>	OTCKX	Mid-Cap Growth	57.87 89 595	20.63 37 560	19.79 32 500	— — 379	✔	19.07	0.87	12.37	✔	☒	✔	0.70	✔	
Wells Fargo Special Mid Cap Value R6 <i>percentile rank</i> <i># of funds in category</i>	WFPRX	Mid-Cap Value	68.32 77 415	11.70 26 395	12.18 31 350	— — 250	✔	22.64	0.97	12.19	✔	☒	✔	0.71	✔	
MFS New Discovery R6 <i>percentile rank</i> <i># of funds in category</i>	MNDKX	Small Growth	88.42 55 619	25.19 21 581	24.33 22 508	— — 386	✔	23.80	0.91	7.25	✔	☒	✖	0.93	✔	
Vanguard Small Cap Value Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VSIAX	Small Value	89.83 65 428	10.80 33 402	12.42 34 372	— — 269	✔	26.14	0.96	4.93	✔	☒	✖	0.07	✔	
<b>Non-US Stock</b>																
JPMorgan Emerging Markets Equity R6 <i>percentile rank</i> <i># of funds in category</i>	JEMWX	Diversified Emerging Mkts	69.33 23 800	13.43 5 696	17.52 3 597	— — 295	✔	20.32	1.02	15.59	✔	☒	✔	0.79	✔	
American Funds Europacific Growth R6 <i>percentile rank</i> <i># of funds in category</i>	REGX	Foreign Large Blend	60.79 25 442	10.20 54 383	12.90 35 320	7.72 40 228	✔	18.74	1.01	19.84	✔	☒	✔	0.46	✔	
Vanguard International Growth Adm <i>percentile rank</i> <i># of funds in category</i>	VWILX	Foreign Large Growth	87.14 3 442	20.68 3 383	22.01 3 320	11.92 5 228	✔	21.08	1.04	18.16	✔	☒	✔	0.33	✔	
Vanguard International Value Inv <i>percentile rank</i> <i># of funds in category</i>	VTRIX	Foreign Large Value	56.36 28 364	6.07 6 333	9.76 3 288	5.47 14 191	✔	19.90	1.10	10.85	✔	☒	✖	0.35	✔	
American Funds New Perspective R6 <i>percentile rank</i> <i># of funds in category</i>	RNPGX	World Stock	67.29 16 868	18.16 10 764	17.95 9 651	12.83 8 400	✔	18.82	—	20.34	✔	☒	✔	0.42	✔	

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<b>Alternative</b>																
Invesco Balanced-Risk Commodity Strat R6	IBRFX	Commodities Broad Basket	54.64	2.32	4.17	—	✔	16.55	1.04	10.34	✔	—	—	1.15	✔	
<i>percentile rank</i>			8	16	32	—										
<i># of funds in category</i>			119	104	90	57										
Neuberger Berman Real Estate R6	NRREX	Real Estate	30.12	12.56	8.43	—	✔	15.35	0.76	15.26	✔	—	✖	0.76	✔	
<i>percentile rank</i>			85	12	6	—										
<i># of funds in category</i>			248	225	201	145										
<b>Fixed Income</b>																
Loomis Sayles Core Plus Bond N	NERNX	Core Plus Bond	5.66	5.44	4.85	—	✔	3.92	1.01	24.26	✔	—	—	0.38	✔	
<i>percentile rank</i>			58	25	11	—										
<i># of funds in category</i>			597	540	476	342										
Vanguard High-Yield Corporate Adm	VWEAX	High Yield Bond	17.76	6.35	6.68	6.12	✔	8.35	0.87	13.13	✔	—	—	0.13	✔	
<i>percentile rank</i>			80	28	50	15										
<i># of funds in category</i>			680	632	558	359										
PIMCO Real Return Instl	PRRIX	Inflation- Protected Bond	9.75	5.92	4.28	3.46	✔	4.10	1.03	2.22	✖	—	—	0.53	✔	
<i>percentile rank</i>			27	11	12	9										
<i># of funds in category</i>			205	198	175	116										
Western Asset Core Bond IS	WACSX	Intermediate- Term Bond	5.14	5.33	4.14	4.30	✔	4.28	1.07	14.26	✔	☒	—	0.42	✔	
<i>percentile rank</i>			15	11	3	3										
<i># of funds in category</i>			422	386	338	256										
PIMCO Short-Term Instl	PTSHX	Ultrashort Bond	5.21	2.32	2.51	1.86	✔	2.37	0.11	10.25	✔	—	—	0.55	✔	
<i>percentile rank</i>			16	25	5	12										
<i># of funds in category</i>			229	189	155	80										
PIMCO International Bond (USD-Hdg) Instl	PFORX	World Bond	6.79	4.41	4.46	5.48	✔	3.44	0.61	6.52	✔	—	—	0.60	✔	
<i>percentile rank</i>			36	31	9	1										
<i># of funds in category</i>			110	95	64	38										
<b>Balanced</b>																
American Funds American Balanced R6	RLBGX	Moderate Allocation	30.58	10.87	10.79	10.18	✔	10.68	0.94	22.26	✔	—	✔	0.26	✔	
<i>percentile rank</i>			83	28	23	6										
<i># of funds in category</i>			666	641	575	412										