

SOLE PROPRIETOR INC

Screens Summary (Data as of 03-31-2021)

Fund Removed from Approved List

Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	
US Stock																
T. Rowe Price Dividend Growth Advisor <i>percentile rank</i> <i># of funds in category</i>	TADGX	Large Blend	46.62 87 1,356	15.69 47 1,230	14.78 60 1,074	12.96 44 812		16.16	0.86	21.01				0.91		
BNY Mellon S&P 500 Index <i>percentile rank</i> <i># of funds in category</i>	PEOPX	Large Blend Index	55.62 60 1,356	16.20 38 1,230	15.71 38 1,074	13.36 32 812		18.41	1.00	21.01				0.50		
Fidelity® Contrafund® <i>percentile rank</i> <i># of funds in category</i>	FCNTX	Large Growth	57.35 69 1,284	18.82 63 1,188	19.14 50 1,071	15.12 43 790		18.77	0.96	30.56				0.86		
American Funds Washington Mutual R4 <i>percentile rank</i> <i># of funds in category</i>	RWMEX	Large Value	48.97 85 1,356	13.01 81 1,230	13.73 78 1,074	12.36 61 812		16.96	0.90	23.76				0.62		
Neuberger Berman Mid Cap Growth R3 <i>percentile rank</i> <i># of funds in category</i>	NMGRX	Mid-Cap Growth	73.61 52 595	19.46 46 560	18.96 42 500	13.24 52 379		21.72	0.99	18.18				1.32		
American Century Mid Cap Value Inv <i>percentile rank</i> <i># of funds in category</i>	ACMVX	Mid-Cap Value	57.22 92 415	9.34 63 395	10.73 61 350	11.16 20 250		20.87	0.89	17.01				0.98		
Carillon Eagle Small Cap Growth R3 <i>percentile rank</i> <i># of funds in category</i>	HSRRX	Small Growth	79.49 80 619	14.54 85 581	16.32 81 508	11.38 85 386		25.35	0.98	25.27				1.31		
Delaware Small Cap Value A <i>percentile rank</i> <i># of funds in category</i>	DEV LX	Small Value	85.89 74 428	8.68 64 402	11.98 40 372	9.49 41 269		27.66	1.01	23.93				1.14		
Non-US Stock																
JPMorgan Emerging Markets Equity R4 <i>percentile rank</i> <i># of funds in category</i>	JHUKX	Diversified Emerging Mkts	68.85 24 800	13.14 5 696	– – 597	– – 295		20.30	1.02	15.59				1.04		
BNY Mellon International Stock Index Inv <i>percentile rank</i> <i># of funds in category</i>	DIISX	Foreign Large Blend Index	43.32 77 752	5.39 66 657	8.40 66 566	5.05 65 375		17.44	0.97	10.76				0.60		
Invesco Oppenheimer International Gr R <i>percentile rank</i> <i># of funds in category</i>	OIGNX	Foreign Large Growth	51.95 50 442	7.74 88 383	8.81 93 320	7.07 58 228		18.26	0.97	25.03				1.38		
Vanguard International Value Inv <i>percentile rank</i> <i># of funds in category</i>	VTRIX	Foreign Large Value	56.36 28 364	6.07 6 333	9.76 3 288	5.47 14 191		19.90	1.10	10.85				0.35		
American Funds New Perspective R4 <i>percentile rank</i> <i># of funds in category</i>	RNPEX	World Stock	66.69 17 868	17.76 12 764	17.54 11 651	12.43 9 400		18.82	–	20.34				0.77		

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Alternative															
PIMCO Commodity Real Ret Strat A <i>percentile rank</i> <i># of funds in category</i>	PCRAX	Commodities Broad Basket	52.12 14 119	1.61 23 104	3.72 43 90	-5.60 42 57		18.03	1.20	6.25		—	—	1.78	
T. Rowe Price Global Real Estate Adv <i>percentile rank</i> <i># of funds in category</i>	PAGEX	Global Real Estate	33.07 67 203	6.18 47 192	4.15 75 170	6.01 42 101		17.61	0.89	0.00				1.15	
Fixed Income															
Eaton Vance Income Fund of Boston A <i>percentile rank</i> <i># of funds in category</i>	EVIBX	High Yield Bond	20.28 62 680	5.49 56 632	6.11 70 558	5.55 42 359		8.60	0.91	6.41		—	—	1.00	
BlackRock Inflation Protected Bond Inv A <i>percentile rank</i> <i># of funds in category</i>	BPRAX	Inflation- Protected Bond	9.58 29 205	5.47 39 198	3.75 39 175	2.87 52 116		4.11	1.05	4.13		—	—	0.90	
Baird Aggregate Bond Inv <i>percentile rank</i> <i># of funds in category</i>	BAGSX	Intermediate- Term Bond	3.20 41 422	4.91 25 386	3.46 26 338	3.95 13 256		3.97	1.08	20.52			—	0.55	
PIMCO Income A <i>percentile rank</i> <i># of funds in category</i>	PONAX	Multisector Bond	13.93 60 344	4.38 58 295	5.48 30 263	6.51 2 143		5.78	0.82	14.01		—	—	1.49	
Federated Hermes Govt Ultrashort Svc <i>percentile rank</i> <i># of funds in category</i>	FEUSX	Ultrashort Bond	1.27 78 229	1.64 72 189	1.34 80 155	0.73 81 80		0.48	0.04	23.74		—	—	0.36	
Templeton Global Bond A <i>percentile rank</i> <i># of funds in category</i>	TPINX	World Bond	-2.66 97 313	-2.25 94 270	0.57 94 247	1.25 95 108		6.12	-0.57	19.26		—	—	0.92	
Target Date															
American Funds 2010 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDATX	Target Date	20.53 52 118	7.41 62 95	7.03 64 76	6.54 18 51		7.11	0.89	9.25		—		0.65	
American Funds 2015 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDBTX	Target Date	22.79 60 115	7.94 51 101	7.57 66 74	7.01 26 43		7.90	0.90	9.25		—		0.65	
American Funds 2020 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDCTX	Target Date	24.27 66 166	8.38 53 152	8.24 64 109	7.64 21 65		8.37	0.86	9.25		—		0.66	
American Funds 2025 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDDTX	Target Date	29.28 67 211	9.55 32 191	9.62 42 151	8.69 4 86		9.84	0.89	9.25		—		0.68	

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American Funds 2030 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDETX	Target Date	35.13 62 212	10.50 24 192	11.07 21 149	9.55 4 82		11.70	0.92	9.25		—		0.70	
American Funds 2035 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDFTX	Target Date	43.85 41 204	12.00 12 188	12.68 9 148	10.31 2 83		14.12	0.96	9.25		—		0.72	
American Funds 2040 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDGTX	Target Date	48.29 44 206	12.67 12 192	13.34 10 149	10.66 2 82		15.16	0.93	9.25		—		0.73	
American Funds 2045 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDHTX	Target Date	49.55 72 204	12.90 10 188	13.61 8 148	10.79 2 82		15.46	0.90	9.25		—		0.74	
American Funds 2050 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDITX	Target Date	50.38 75 206	13.07 10 192	13.79 6 149	10.88 3 73		15.71	0.90	9.25		—		0.74	
American Funds 2055 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDJTX	Target Date	50.42 75 204	13.05 12 188	13.77 8 145	10.86 4 48		15.72	0.89	9.25		—		0.74	
American Funds 2060 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDKTX	Target Date	50.39 80 271	13.05 11 185	13.76 14 109	— — —		15.71	1.38	6.02		—		0.75	
American Funds 2065 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDLTX	Target Date	50.65 80 271	— — 185	— — 109	— — —	—	—	—	1.25		—		0.76	

Balanced

BlackRock Global Allocation Inv A <i>percentile rank</i> <i># of funds in category</i>	MDLQX	World Allocation	40.26 23 464	10.13 4 413	9.47 14 363	6.33 35 236		12.06	1.04	4.25				1.10	
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