

TEKLA RESEARCH INC 401K PLAN

Screens Summary (Data as of 03-31-2021)

Fund Removed from Approved List

Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	
US Stock																
Vanguard 500 Index Admiral	VFIAX	Large Blend Index	56.33	16.75	16.26	13.88		18.40	1.00	4.93				0.04		
<i>percentile rank</i>			53	26	23	11										
<i># of funds in category</i>			1,356	1,230	1,074	812										
Vanguard Growth Index Admiral	VIGAX	Large Growth	64.95	23.10	20.60	16.27		19.59	1.01	26.27				0.05		
<i>percentile rank</i>			32	22	32	24										
<i># of funds in category</i>			1,284	1,188	1,071	790										
Vanguard Value Index Adm	VVIAX	Large Value	51.33	11.41	12.72	11.63		18.90	0.94	26.27				0.05		
<i>percentile rank</i>			65	34	27	19										
<i># of funds in category</i>			1,207	1,136	1,007	728										
Vanguard Mid Cap Index Admiral	VIMAX	Mid-Cap Blend	70.62	14.65	14.60	12.29		21.54	0.98	22.88				0.05		
<i>percentile rank</i>			61	21	23	16										
<i># of funds in category</i>			406	376	309	215										
Vanguard Small Cap Growth Index Admiral	VSGAX	Small Growth	83.09	19.39	19.05	—		24.11	0.93	16.26				0.07		
<i>percentile rank</i>			73	49	57	—										
<i># of funds in category</i>			619	581	508	386										
Vanguard Small Cap Value Index Admiral	VSIAX	Small Value	89.83	10.80	12.42	—		26.14	0.96	4.93				0.07		
<i>percentile rank</i>			65	33	34	—										
<i># of funds in category</i>			428	402	372	269										
Non-US Stock																
American Funds New World R6	RNWXG	Diversified Emerging Mkts	62.16	11.99	14.53	7.41		18.12	0.89	21.80				0.59		
<i>percentile rank</i>			48	8	18	1										
<i># of funds in category</i>			800	696	597	295										
American Funds Europacific Growth R6	REGGX	Foreign Large Blend	60.79	10.20	12.90	7.72		18.74	1.01	19.84				0.46		
<i>percentile rank</i>			25	54	35	40										
<i># of funds in category</i>			442	383	320	228										
Vanguard Developed Markets Index Admiral	VTMGX	Foreign Large Blend Index	50.81	6.56	9.60	6.00		18.47	1.04	8.11				0.07		
<i>percentile rank</i>			36	37	36	24										
<i># of funds in category</i>			752	657	566	375										
Alternative																
Vanguard Real Estate Index Admiral	VGSLX	Real Estate	36.54	11.00	6.13	8.91		18.17	0.93	24.90		—		0.12		
<i>percentile rank</i>			45	28	41	25										
<i># of funds in category</i>			248	225	201	145										
Fixed Income																
Baird Core Plus Bond Inst	BCOIX	Core Plus Bond	5.38	5.41	4.20	4.34		4.25	1.07	20.52		—	—	0.30		
<i>percentile rank</i>			62	26	30	19										
<i># of funds in category</i>			597	540	476	342										

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American Century Inflation Adjs Bond R5 <i>percentile rank</i> <i># of funds in category</i>	AIANX	Inflation-Protected Bond	8.93 38 205	5.16 49 198	3.62 51 175	3.15 35 116	✓	4.21	1.06	19.35	✓	—	—	0.27	✓
Vanguard Interm-Term Bond Index Adm <i>percentile rank</i> <i># of funds in category</i>	VBILX	Intermediate-Term Bond	2.01 64 422	5.68 4 386	3.49 25 338	4.32 2 256	✓	4.26	1.18	12.94	✓	☒	—	0.07	✓
Federated Hermes Govt Ultrashort IS <i>percentile rank</i> <i># of funds in category</i>	FGUSX	Ultrashort Bond	1.38 77 229	1.73 68 189	1.44 75 155	0.83 77 80	✖	0.49	0.05	23.74	✓	—	—	0.26	✓

Target Date															
Vanguard Target Retirement 2015 Inv <i>percentile rank</i> <i># of funds in category</i>	VTXVX	Target Date	19.57 91 115	7.41 79 101	7.45 75 74	6.73 52 43	✓	7.25	0.83	8.11	✓	—	✓	0.12	✓
Vanguard Target Retirement 2020 Inv <i>percentile rank</i> <i># of funds in category</i>	VTWNX	Target Date	27.16 50 166	8.73 38 152	8.92 34 109	7.68 18 65	✓	9.60	0.99	8.11	✓	—	✓	0.13	✓
Vanguard Target Retirement 2025 Inv <i>percentile rank</i> <i># of funds in category</i>	VTTVX	Target Date	32.57 39 211	9.62 29 191	9.95 27 151	8.31 16 86	✓	11.31	1.03	8.11	✓	—	✓	0.13	✓
Vanguard Target Retirement 2030 Inv <i>percentile rank</i> <i># of funds in category</i>	VTHRX	Target Date	37.19 47 212	10.26 40 192	10.77 35 149	8.84 22 82	✓	12.66	1.00	8.11	✓	—	✓	0.14	✓
Vanguard Target Retirement 2035 Inv <i>percentile rank</i> <i># of funds in category</i>	VTTX	Target Date	41.87 60 204	10.86 46 188	11.57 41 148	9.33 27 83	✓	14.00	0.96	8.11	✓	—	✓	0.14	✓
Vanguard Target Retirement 2040 Inv <i>percentile rank</i> <i># of funds in category</i>	VFORX	Target Date	46.64 60 206	11.43 44 192	12.36 38 149	9.79 25 82	✓	15.35	0.95	8.11	✓	—	✓	0.14	✓
Vanguard Target Retirement 2045 Inv <i>percentile rank</i> <i># of funds in category</i>	VTIVX	Target Date	51.64 49 204	12.03 35 188	12.89 31 148	10.04 21 82	✓	16.59	0.97	8.11	✓	—	✓	0.15	✓
Vanguard Target Retirement 2050 Inv <i>percentile rank</i> <i># of funds in category</i>	VFIFX	Target Date	51.82 60 206	12.09 42 192	12.93 40 149	10.06 23 73	✓	16.60	0.95	8.11	✓	—	✓	0.15	✓
Vanguard Target Retirement 2055 Inv <i>percentile rank</i> <i># of funds in category</i>	VFFVX	Target Date	51.79 67 204	12.07 44 188	12.92 42 145	10.06 25 48	✓	16.60	0.95	8.11	✓	—	✓	0.15	✓
Vanguard Target Retirement 2060 Inv <i>percentile rank</i> <i># of funds in category</i>	VTTSX	Target Date	51.75 73 271	12.06 52 185	12.91 57 109	— — —	✓	16.59	1.46	8.11	✓	—	✓	0.15	✓
Vanguard Target Retirement 2065 Inv <i>percentile rank</i> <i># of funds in category</i>	VLVX	Target Date	51.66 75 271	12.02 55 185	— — 109	— — —	✓	16.61	1.46	3.72	✓	—	✓	0.15	✓

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Vanguard Target Retirement Income Inv	VTINX	Target Date	17.02	7.06	6.38	5.66		6.10	0.84	8.11		—		0.12	
<i>percentile rank</i>			<i>57</i>	<i>32</i>	<i>46</i>	<i>16</i>									
<i># of funds in category</i>			<i>156</i>	<i>139</i>	<i>116</i>	<i>75</i>									
Balanced															
Vanguard LifeStrategy Cnsv Gr Inv	VSCGX	Target Risk	21.46	8.09	7.59	6.40		7.76	1.01	26.52		—		0.12	
<i>percentile rank</i>			<i>76</i>	<i>29</i>	<i>33</i>	<i>28</i>									
<i># of funds in category</i>			<i>538</i>	<i>517</i>	<i>460</i>	<i>278</i>									
Vanguard LifeStrategy Growth Inv	VASGX	Target Risk	45.30	11.34	11.88	9.30		14.79	1.02	26.52		—		0.14	
<i>percentile rank</i>			<i>60</i>	<i>25</i>	<i>26</i>	<i>25</i>									
<i># of funds in category</i>			<i>322</i>	<i>307</i>	<i>277</i>	<i>185</i>									
Vanguard LifeStrategy Income Inv	VASIX	Target Risk	10.78	6.28	5.34	4.84		4.73	0.96	26.52		—		0.11	
<i>percentile rank</i>			<i>88</i>	<i>25</i>	<i>55</i>	<i>46</i>									
<i># of funds in category</i>			<i>166</i>	<i>158</i>	<i>142</i>	<i>88</i>									
Vanguard LifeStrategy Moderate Gr Inv	VSMGX	Target Risk	32.92	9.75	9.76	7.94		11.23	0.99	26.52		—		0.13	
<i>percentile rank</i>			<i>69</i>	<i>50</i>	<i>51</i>	<i>52</i>									
<i># of funds in category</i>			<i>666</i>	<i>641</i>	<i>575</i>	<i>412</i>									