

# BAX ENGINEERING COMPANY 401K PLAN

## Screens Summary (Data as of 03-31-2022)

🚫 Fund Removed from Approved List    ⚠️ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense		ESG Metrics				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Sustain. Rating	Commit. Level	Carbon Risk	
<b>US Stock</b>																		
American Funds Invmt Co of Amer R6	RICGX	Large Blend	11.72	15.47	12.80	13.07	✔️	16.61	0.93	30.10	✔️	🇺🇸	✔️	0.27	✔️	2	-	Low Risk
<i>percentile rank</i>			62	75	80	58												
<i># of funds in category</i>			1,382	1,245	1,129	827												
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Vanguard 500 Index Admiral	VFIAX	Large Blend Index	15.60	18.89	15.95	14.60	✔️	17.76	1.00	5.93	✔️	🇺🇸	✔️	0.04	✔️	3	1-Low	Low Risk
<i>percentile rank</i>			17	19	16	9												
<i># of funds in category</i>			1,382	1,245	1,129	827												
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American Funds Growth Fund of Amer R6	RGAGX	Large Growth	4.32	18.64	16.72	15.39	✔️	19.16	0.96	28.43	✔️	🇺🇸	✔️	0.30	✔️	1	1-Low	Low Risk
<i>percentile rank</i>			65	53	64	34												
<i># of funds in category</i>			1,240	1,128	1,033	769												
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American Funds Washington Mutual R6	RWMGX	Large Value	16.43	15.94	14.10	13.51	✔️	16.90	0.92	24.76	✔️	🇺🇸	✖️	0.27	✔️	2	1-Low	Low Risk
<i>percentile rank</i>			12	71	58	46												
<i># of funds in category</i>			1,382	1,245	1,129	827												
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MFS Mid Cap Growth R6	OTCKX	Mid-Cap Growth	-1.23	14.96	16.65	-	✔️	19.67	0.89	13.37	✔️	🇺🇸	✔️	0.65	✔️	5	2-Basic	Low Risk
<i>percentile rank</i>			38	52	23	-												
<i># of funds in category</i>			595	545	506	389												
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American Century Mid Cap Value I	AVUAX	Mid-Cap Value	12.75	13.62	9.40	12.21	✔️	19.00	0.85	18.01	✔️	🇺🇸	✔️	0.78	✔️	3	1-Low	Medium Risk
<i>percentile rank</i>			31	50	60	16												
<i># of funds in category</i>			410	392	356	265												
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⚠️ Carillon Eagle Small Cap Growth I	HSIIX	Small Growth	-12.30	8.80	8.82	10.04	✔️	23.96	0.97	28.92	✔️	🇺🇸	✔️	0.77	✔️	2	-	Medium Risk
<i>percentile rank</i>			69	92	93	90												
<i># of funds in category</i>			617	583	529	400												
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Delaware Small Cap Value Instl	DEVIX	Small Value	8.28	13.16	8.23	10.38	✔️	25.41	0.99	24.93	✔️	🇺🇸	✔️	0.86	✔️	3	-	Medium Risk
<i>percentile rank</i>			32	47	54	41												
<i># of funds in category</i>			446	416	396	301												
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<b>Non-US Stock</b>																		
American Funds New World R6	RNWGX	Diversified Emerging Mkts	-6.50	9.91	9.86	7.13	✔️	17.98	0.91	22.80	✔️	🇺🇸	✔️	0.57	✔️	4	1-Low	Low Risk
<i>percentile rank</i>			31	8	5	2												
<i># of funds in category</i>			809	732	626	354												
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American Funds Intl Gr and Inc R6	RIGGX	Foreign Large Blend	-4.21	7.60	6.84	6.02	✔️	18.94	1.11	13.50	✔️	🇺🇸	✔️	0.54	✔️	2	1-Low	Low Risk
<i>percentile rank</i>			79	45	36	44												
<i># of funds in category</i>			772	712	616	413												
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Vanguard Developed Markets Index Admiral	VTMGX	Foreign Large Blend Index	0.70	8.54	7.16	6.67	✔️	17.85	1.04	9.11	✔️	🇺🇸	✔️	0.07	✔️	2	1-Low	Low Risk
<i>percentile rank</i>			29	28	28	19												
<i># of funds in category</i>			772	712	616	413												
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MFS International Growth R4	MGRVX	Foreign Large Growth	-0.11	9.55	10.58	7.58	✔️	15.38	0.85	10.25	✔️	🇺🇸	✖️	0.83	✔️	4	-	Low Risk
<i>percentile rank</i>			18	54	24	33												
<i># of funds in category</i>			454	390	340	228												
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Vanguard International Value Inv	VTRIX	Foreign Large Value	-3.09	7.10	6.36	5.78	✔️	19.48	1.09	11.85	✔️	🇺🇸	✔️	0.36	✔️	2	-	Medium Risk
<i>percentile rank</i>			85	34	12	26												
<i># of funds in category</i>			350	327	291	184												

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			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating	Commit. Level	Carbon Risk
American Funds New Perspective R6	RNPGX	World Stock	3.98	17.65	15.64	13.13	✓	18.94	1.07	21.34	✓	—	✓	0.41	✓	2	2-Basic	Low Risk
<i>percentile rank</i>			28	10	12	9												
<i># of funds in category</i>			356	306	265	167									02-28-2022	02-15-2022	12-31-2021	

**Alternative**

PIMCO Commodity Real Return Strat I2	PCRPX	Commodities Broad Basket	52.78	19.66	10.98	-0.01	✓	19.49	1.14	3.41	✓	—	—	0.92	✓	2	—	—
<i>percentile rank</i>			34	10	30	44												
<i># of funds in category</i>			115	109	89	56									02-28-2022	—	—	
American Century Real Estate I	REAIX	Real Estate	24.79	11.73	10.07	9.53	✓	19.18	0.94	13.38	✓	—	✓	0.94	✓	3	—	Medium Risk
<i>percentile rank</i>			42	44	45	41												
<i># of funds in category</i>			258	239	207	151									02-28-2022	—	12-31-2021	

**Fixed Income**

Eaton Vance Income Fund of Boston I	EIBIX	High Yield Bond	1.92	4.77	4.45	5.40	✓	8.36	0.90	7.41	✓	—	—	0.75	✓	—	—	—
<i>percentile rank</i>			10	24	28	22												
<i># of funds in category</i>			682	638	571	378												
PIMCO Real Return I2	PRLPX	Inflation-Protected Bond	3.75	6.27	4.40	2.64	✓	4.43	1.00	3.22	✓	—	—	0.57	✓	3	—	—
<i>percentile rank</i>			57	18	24	15												
<i># of funds in category</i>			207	199	180	122									02-28-2022	—	—	
Baird Aggregate Bond Inst	BAGIX	Intermediate-Term Bond	-4.46	2.04	2.45	2.90	✓	4.52	1.09	21.52	✓	☒	—	0.30	✓	3	1-Low	—
<i>percentile rank</i>			56	23	16	10												
<i># of funds in category</i>			434	394	359	259									02-28-2022	02-15-2022	—	
PIMCO Income I2	PONPX	Multisector Bond	-1.64	2.83	3.49	5.86	✓	6.06	0.78	15.01	✓	—	—	0.72	✓	—	—	—
<i>percentile rank</i>			43	49	34	1												
<i># of funds in category</i>			337	282	241	140												
Federated Hermes Govt Ultrashort IS	FGUSX	Ultrashort Bond	-0.48	0.89	1.19	0.73	✘	0.57	0.07	24.74	✓	—	—	0.26	✓	—	—	—
<i>percentile rank</i>			40	58	66	74												
<i># of funds in category</i>			236	214	176	92												
PIMCO International Bond (USD-Hdg) I-2	PFBPX	World Bond	-4.14	1.46	2.53	4.05	✓	3.82	0.66	7.52	✓	—	—	0.62	✓	2	—	—
<i>percentile rank</i>			33	40	17	5												
<i># of funds in category</i>			129	112	84	53									11-30-2021	—	—	

**Balanced**

American Funds American Balanced R6	RLBGX	Moderate Allocation	7.43	11.17	9.95	10.09	✓	10.54	0.94	23.26	✓	—	✓	0.25	✓	3	1-Low	Low Risk
<i>percentile rank</i>			23	29	21	6												
<i># of funds in category</i>			706	661	604	438									02-28-2022	02-15-2022	12-31-2021	