

ASSOCIATION OF STATE FLOODPLAIN

Screens Summary (Data as of 03-31-2023)

Fund Removed from Approved List Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense		ESG Metrics					
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Sustain. Rating	Commit. Level	Carbon Risk		
																Updated	Updated	Updated	
US Stock																			
Vanguard Dividend Growth Inv	VDIGX	Large Blend	-2.78	17.22	12.05	11.80	✓	16.07	0.77	17.17	✓	☐	✓	0.27	✓	4	2-Basic	Low Risk	
percentile rank			8	69	5	28													
# of funds in category			1,372	1,240	1,130	835											02-28-2023	02-15-2022	12-31-2022
Vanguard 500 Index Admiral	VFIAX	Large Blend Index	-7.77	18.56	11.15	12.20	✓	19.23	1.00	6.93	✓	☐	✓	0.04	✓	3	1-Low	Low Risk	
percentile rank			53	31	21	11													
# of funds in category			1,372	1,240	1,130	835											02-28-2023	02-15-2022	12-31-2022
MFS Growth R6	MFEKX	Large Growth	-12.94	12.14	10.85	13.19	✓	21.89	0.94	21.01	✓	☐	✓	0.50	✓	4	2-Basic	Low Risk	
percentile rank			59	80	43	29													
# of funds in category			1,253	1,142	1,056	813											02-28-2023	02-15-2022	12-31-2022
Invesco Diversified Dividend R6	LCEFX	Large Value	-4.03	16.02	7.37	8.50	✓	15.45	0.84	7.10	✓	☐	✓	0.46	✓	2	-	Medium Risk	
percentile rank			38	82	62	73													
# of funds in category			1,241	1,159	1,107	825											02-28-2023	-	12-31-2022
MFS Mid Cap Growth R6	OTCKX	Mid-Cap Growth	-8.69	12.50	9.62	11.98	✓	21.60	0.91	14.37	✓	☐	✓	0.66	✓	5	2-Basic	Low Risk	
percentile rank			31	76	24	13													
# of funds in category			582	534	503	392											02-28-2023	02-15-2022	12-31-2022
Vanguard Selected Value Inv	VASVX	Mid-Cap Value	-3.01	28.00	7.28	9.34	✓	22.73	1.10	18.10	✓	☐	✓	0.38	✓	4	-	Medium Risk	
percentile rank			19	11	39	27													
# of funds in category			400	386	366	268											02-28-2023	-	12-31-2022
PGIM Jennison Small Company R6	PJSQX	Small Growth	-9.89	29.34	9.23	10.47	✓	21.83	0.85	9.35	✓	☐	✖	0.71	✓	3	-	Medium Risk	
percentile rank			35	3	17	25													
# of funds in category			608	578	540	406											02-28-2023	-	12-31-2022
DFA US Small Cap Value I	DFS VX	Small Value	-3.50	31.25	7.19	8.54	✓	23.44	1.00	11.09	✓	☐	✓	0.30	✓	2	-	Medium Risk	
percentile rank			23	19	23	27													
# of funds in category			482	453	430	323											02-28-2023	-	12-31-2022
Non-US Stock																			
American Funds New World R6	RNWXG	Diversified Emerging Mkts	-6.90	12.18	4.10	5.50	✓	18.28	0.86	23.80	✓	☐	✓	0.57	✓	3	1-Low	Medium Risk	
percentile rank			24	22	3	1													
# of funds in category			835	746	657	398											02-28-2023	02-15-2022	12-31-2022
American Funds Intl Gr and Inc R6	RIGGX	Foreign Large Blend	0.14	13.55	3.38	4.77	✓	18.68	1.05	14.50	✓	☐	✓	0.54	✓	2	1-Low	Low Risk	
percentile rank			18	30	36	45													
# of funds in category			749	697	630	425											02-28-2023	02-15-2022	12-31-2022
Vanguard Developed Markets Index Admiral	VTMGX	Foreign Large Blend Index	-2.91	13.85	3.42	5.24	✓	19.22	1.09	10.11	✓	☐	✓	0.07	✓	2	1-Low	Low Risk	
percentile rank			58	25	34	23													
# of funds in category			749	697	630	425											02-28-2023	02-15-2022	12-31-2022
Invesco Oppenheimer International Gr R6	OIGIX	Foreign Large Growth	-2.58	11.10	2.73	5.05	✓	21.70	1.11	27.03	✓	☐	✓	0.69	✓	3	-	Low Risk	
percentile rank			22	51	69	66													
# of funds in category			449	404	350	235											02-28-2023	-	12-31-2022
Vanguard International Value Inv	VTRIX	Foreign Large Value	1.16	15.30	3.19	4.96	✓	19.28	1.02	12.85	✓	☐	✖	0.38	✓	2	-	Medium Risk	
percentile rank			30	41	25	20													
# of funds in category			365	334	311	195											02-28-2023	-	12-31-2022

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Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense		ESG Metrics				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Sustain. Rating	Commit. Level	Carbon Risk	
American Funds Capital World Gr&Inc R6	RWIGX	Global Stock	-4.64	13.87	5.81	7.92	✓	17.97	0.98	17.17	✓	—	✓	0.42	✓	2	1-Low	Low Risk
<i>percentile rank</i>			34	64	65	50												
<i># of funds in category</i>			369	335	289	182									02-28-2023	05-18-2022	12-31-2022	

Alternative

PIMCO Commodity Real Ret Strat Instl	PCRIX	Commodities Broad Basket	-14.75	25.82	6.73	-1.64	✓	18.26	1.12	4.41	✓	—	—	0.76	✓	3	—	—
<i>percentile rank</i>			86	33	31	67												
<i># of funds in category</i>			114	108	93	65									12-31-2022	—	—	
Vanguard Real Estate Index Admiral	VGSLX	Real Estate	-20.10	9.83	5.82	5.79	✓	20.41	1.00	26.90	✓	—	✓	0.12	✓	3	1-Low	Low Risk
<i>percentile rank</i>			54	64	45	43												
<i># of funds in category</i>			261	237	213	156									02-28-2023	02-15-2022	12-31-2022	

Fixed Income

PIMCO International Bond (USD-Hdg) Instl	PFORX	Global Bond	-3.68	-0.44	1.02	2.74	✓	4.61	0.62	8.52	✓	—	—	0.51	✓	3	—	—
<i>percentile rank</i>			19	20	10	1												
<i># of funds in category</i>			130	113	99	59									02-28-2023	—	—	
Eaton Vance Income Fund of Boston R6	EIBRX	High Yield Bond	-1.22	6.74	3.63	—	✓	8.39	0.92	8.41	✓	—	—	0.66	✓	—	—	—
<i>percentile rank</i>			16	22	11	—												
<i># of funds in category</i>			689	622	580	415												
Vanguard Inflation-Protected Secs Adm	VAIPX	Inflation-Protected Bond	-6.22	1.67	2.85	1.39	✓	7.02	0.99	1.39	✘	—	—	0.10	✓	3	—	—
<i>percentile rank</i>			63	65	39	32												
<i># of funds in category</i>			215	202	195	137									02-28-2023	—	—	
Baird Aggregate Bond Inst	BAGIX	Intermediate-Term Bond	-4.67	-1.98	1.16	1.75	✓	6.54	1.04	22.52	✓	📄	—	0.30	✓	3	1-Low	—
<i>percentile rank</i>			29	34	20	9												
<i># of funds in category</i>			465	418	382	286									02-28-2023	02-15-2022	—	
PIMCO Income Instl	PIMIX	Multisector Bond	-1.30	3.59	2.26	3.98	✓	6.04	0.86	16.01	✓	—	—	0.51	✓	—	—	—
<i>percentile rank</i>			17	41	22	1												
<i># of funds in category</i>			346	292	255	158												
Lincoln Stable Value Account -LNGPA		Stable Value	—	—	—	—	—	—	—	39.94	✓	—	—	—	—	—	—	—
<i>percentile rank</i>			—	—	—	—												
<i># of funds in category</i>			—	—	—	—												
Federated Hermes Govt Ultrashort R6	FGULX	Ultrashort Bond	2.12	1.01	1.38	—	✓	0.64	0.05	25.74	✓	—	—	0.24	✓	—	—	—
<i>percentile rank</i>			48	72	63	—												
<i># of funds in category</i>			237	216	180	106												

Target Date

American Funds 2010 Trgt Date Retire R6	RFTTX	Target Date	-3.68	6.46	4.54	5.30	✓	8.94	0.88	11.25	✓	—	✓	0.28	✓	2	—	—
<i>percentile rank</i>			1	16	1	1												
<i># of funds in category</i>			122	113	90	50									02-28-2023	—	—	
American Funds 2015 Trgt Date Retire R6	RFJTX	Target Date	-4.27	7.01	4.78	5.76	✓	9.72	0.87	11.25	✓	—	✘	0.30	✓	2	—	—
<i>percentile rank</i>			1	14	1	1												
<i># of funds in category</i>			118	108	94	43									02-28-2023	—	—	

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																	Updated	Updated	Updated
American Funds 2020 Trgt Date Retire R6	RRCTX	Target Date	-4.51	7.32	4.99	6.29	✔	10.24	0.84	11.25	✔	—	✔	0.30	✔	2	—	—	
<i>percentile rank</i>			4	38	4	1													
<i># of funds in category</i>			146	135	121	56									02-28-2023	—	—		
American Funds 2025 Trgt Date Retire R6	RFDTX	Target Date	-4.99	8.50	5.51	7.16	✔	11.42	0.87	11.25	✔	—	✔	0.32	✔	2	—	Low Risk	
<i>percentile rank</i>			11	33	1	1													
<i># of funds in category</i>			215	190	170	103									02-28-2023	—	06-30-2022		
American Funds 2030 Trgt Date Retire R6	RFETX	Target Date	-5.45	10.02	6.03	7.96	✔	12.94	0.91	11.25	✔	—	✔	0.33	✔	2	—	Low Risk	
<i>percentile rank</i>			16	31	1	1													
<i># of funds in category</i>			217	190	170	97									02-28-2023	—	12-31-2022		
American Funds 2035 Trgt Date Retire R6	RFFTX	Target Date	-6.10	12.33	6.86	8.73	✔	14.81	0.97	11.25	✔	—	✔	0.35	✔	2	—	Low Risk	
<i>percentile rank</i>			25	19	1	1													
<i># of funds in category</i>			208	183	167	100									02-28-2023	—	12-31-2022		
American Funds 2040 Trgt Date Retire R6	RFGTK	Target Date	-6.45	13.37	7.20	9.05	✔	16.09	0.99	11.25	✔	—	✔	0.36	✔	2	—	Low Risk	
<i>percentile rank</i>			29	31	1	1													
<i># of funds in category</i>			212	184	170	97									02-28-2023	—	12-31-2022		
American Funds 2045 Trgt Date Retire R6	RFHTX	Target Date	-6.72	13.53	7.24	9.14	✔	16.51	0.97	11.25	✔	—	✔	0.37	✔	2	—	Low Risk	
<i>percentile rank</i>			38	61	3	1													
<i># of funds in category</i>			208	183	167	100									02-28-2023	—	12-31-2022		
American Funds 2050 Trgt Date Retire R6	RFITX	Target Date	-6.93	13.54	7.20	9.14	✔	16.80	0.97	11.25	✔	—	✔	0.38	✔	2	—	Low Risk	
<i>percentile rank</i>			42	73	5	1													
<i># of funds in category</i>			209	184	170	97									02-28-2023	—	12-31-2022		
American Funds 2055 Trgt Date Retire R6	RFKTX	Target Date	-7.11	13.31	7.07	9.08	✔	16.97	0.98	11.25	✔	—	✖	0.38	✔	2	—	Low Risk	
<i>percentile rank</i>			49	83	12	1													
<i># of funds in category</i>			208	183	167	82									02-28-2023	—	12-31-2022		
American Funds 2060 Trgt Date Retire R6	RFUTX	Target Date	-7.22	13.20	7.01	—	✔	16.97	1.31	8.02	✔	—	✖	0.38	✔	2	—	Low Risk	
<i>percentile rank</i>			53	83	13	—													
<i># of funds in category</i>			208	178	157	8									02-28-2023	—	12-31-2022		
American Funds 2065 Trgt Date Retire R6	RFVTX	Target Date	-7.15	13.30	—	—	—	16.99	1.31	3.25	✔	—	✖	0.38	✔	2	—	Low Risk	
<i>percentile rank</i>			44	85	—	—													
<i># of funds in category</i>			158	66	10	—									02-28-2023	—	12-31-2022		

Balanced

American Funds American Balanced R6	RLBGX	Moderate Allocation	-5.57	9.83	6.69	8.17	✔	12.44	0.96	24.26	✔	—	✔	0.25	✔	2	1-Low	Low Risk
<i>percentile rank</i>			28	49	22	6												
<i># of funds in category</i>			750	683	656	485									02-28-2023	02-15-2022	12-31-2022	