

# LE BERNARDIN INC 401K PLAN

## Screens Summary (Data as of 03-31-2023)

🚫 Fund Removed from Approved List    ⚠️ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense		ESG Metrics				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Sustain. Rating	Commit. Level	Carbon Risk	
US Stock																		
DFA US Core Equity 1 I	DFEOX	Large Blend	-6.13	20.82	10.01	11.47	✔️	19.34	0.99	11.09	✔️	🇺🇸	✔️	0.14	✔️	2	-	Medium Risk
<i>percentile rank</i>			30	8	53	43												
<i># of funds in category</i>			1,372	1,240	1,130	835												
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Vanguard 500 Index Admiral	VFIAX	Large Blend Index	-7.77	18.56	11.15	12.20	✔️	19.23	1.00	6.93	✔️	🇺🇸	✔️	0.04	✔️	3	1-Low	Low Risk
<i>percentile rank</i>			53	31	21	11												
<i># of funds in category</i>			1,372	1,240	1,130	835												
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American Funds Growth Fund of Amer R6	RGAGX	Large Growth	-13.47	14.64	9.24	12.19	✔️	22.06	0.93	29.43	✔️	🇺🇸	✔️	0.30	✔️	1	1-Low	Low Risk
<i>percentile rank</i>			63	58	70	50												
<i># of funds in category</i>			1,253	1,142	1,056	813												
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DFA US Large Cap Value I	DFLVX	Large Value	-5.00	20.73	6.46	9.51	✔️	19.89	1.09	11.09	✔️	🇺🇸	✔️	0.21	✔️	2	-	Medium Risk
<i>percentile rank</i>			53	30	80	38												
<i># of funds in category</i>			1,241	1,159	1,107	825												
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Vanguard Mid-Cap Growth Index Admiral	VMGMX	Mid-Cap Growth	-11.75	16.18	9.28	10.55	✔️	23.16	1.00	10.11	✔️	🇺🇸	✔️	0.07	✔️	3	1-Low	Medium Risk
<i>percentile rank</i>			56	37	31	47												
<i># of funds in category</i>			582	534	503	392												
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Vanguard Mid-Cap Value Index Admiral	VMVAX	Mid-Cap Value	-8.26	20.86	6.61	9.46	✔️	19.20	0.95	16.63	✔️	🇺🇸	✔️	0.07	✔️	4	1-Low	Medium Risk
<i>percentile rank</i>			75	66	52	19												
<i># of funds in category</i>			400	386	366	268												
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State St Russell Sm Cap® Gr Indx NL CI C		Small Growth	-10.68	13.15	4.09	8.35	✔️	24.07	1.00	13.58	✔️	🇺🇸	✔️	0.05	✔️	2	-	Medium Risk
<i>percentile rank</i>			43	74	89	77												
<i># of funds in category</i>			608	578	540	406												
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State St Russell Sm Cp® Val Indx NL CI C		Small Value	-12.97	20.86	4.58	7.22	✔️	23.00	1.00	13.58	✔️	🇺🇸	✔️	0.05	✔️	2	-	Medium Risk
<i>percentile rank</i>			93	82	68	59												
<i># of funds in category</i>			482	453	430	323												
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Non-US Stock																		
Vanguard Emerging Mkts Stock Idx Adm	VEMAX	Diversified Emerging Mkts	-9.13	9.48	-0.06	2.18	✔️	17.60	0.92	14.59	✔️	🇺🇸	✔️	0.14	✔️	2	1-Low	Medium Risk
<i>percentile rank</i>			47	42	35	41												
<i># of funds in category</i>			835	746	657	398												
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Vanguard Developed Markets Index Admiral	VTMGX	Foreign Large Blend Index	-2.91	13.85	3.42	5.24	✔️	19.22	1.09	10.11	✔️	🇺🇸	✔️	0.07	✔️	2	1-Low	Low Risk
<i>percentile rank</i>			58	25	34	23												
<i># of funds in category</i>			749	697	630	425												
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MFS International Equity R6	MIEIX	Foreign Large Growth	1.64	14.36	6.86	6.88	✔️	18.54	1.03	13.50	✔️	🇺🇸	✔️	0.68	✔️	4	2-Basic	Low Risk
<i>percentile rank</i>			11	19	3	4												
<i># of funds in category</i>			749	697	630	425												
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DFA International Value I	DFIVX	Foreign Large Value	0.40	20.49	2.85	5.04	✔️	20.52	1.04	13.09	✔️	🇺🇸	✔️	0.28	✔️	1	-	Medium Risk
<i>percentile rank</i>			38	7	33	15												
<i># of funds in category</i>			365	334	311	195												
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Vanguard Total World Stock Index Admiral	VTWAX	Global Stock	-6.97	15.92	-	-	✔️	18.31	1.01	10.11	✔️	---	✔️	0.10	✔️	2	1-Low	Low Risk
<i>percentile rank</i>			73	37	-	-												
<i># of funds in category</i>			369	335	289	182												

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			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating <i>Updated</i>	Commit. Level <i>Updated</i>	Carbon Risk <i>Updated</i>
<b>Alternative</b>																		
PIMCO Commodity Real Ret Strat Instl <i>percentile rank</i> <i># of funds in category</i>	PCRIX	Commodities Broad Basket	-14.75 <i>86</i> <i>114</i>	25.82 <i>33</i> <i>108</i>	6.73 <i>31</i> <i>93</i>	-1.64 <i>67</i> <i>65</i>	🟢	18.26	1.12	4.41	🟢	—	—	0.76	🟢	3	—	—
															12-31-2022	—	—	
Vanguard Real Estate Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VGSLX	Real Estate	-20.10 <i>54</i> <i>261</i>	9.83 <i>64</i> <i>237</i>	5.82 <i>45</i> <i>213</i>	5.79 <i>43</i> <i>156</i>	🟢	20.41	1.00	26.90	🟢	—	🟢	0.12	🟢	3	1-Low	Low Risk
															02-28-2023	02-15-2022	12-31-2022	
<b>Fixed Income</b>																		
Loomis Sayles Core Plus Bond N <i>percentile rank</i> <i># of funds in category</i>	NERNX	Core Plus Bond	-4.15 <i>14</i> <i>615</i>	-0.82 <i>36</i> <i>556</i>	1.60 <i>13</i> <i>510</i>	2.13 <i>12</i> <i>367</i>	🟢	7.03	1.10	26.26	🟢	—	—	0.38	🟢	3	1-Low	—
															02-28-2023	02-15-2022	—	
PIMCO International Bond (USD-Hdg) Instl <i>percentile rank</i> <i># of funds in category</i>	PFORX	Global Bond	-3.68 <i>19</i> <i>130</i>	-0.44 <i>20</i> <i>113</i>	1.02 <i>10</i> <i>99</i>	2.74 <i>1</i> <i>59</i>	🟢	4.61	0.62	8.52	🟢	—	—	0.51	🟢	3	—	—
															02-28-2023	—	—	
Vanguard High-Yield Corporate Adm <i>percentile rank</i> <i># of funds in category</i>	VWEAX	High Yield Bond	-1.95 <i>23</i> <i>689</i>	4.74 <i>71</i> <i>622</i>	3.25 <i>20</i> <i>580</i>	3.89 <i>18</i> <i>415</i>	🟢	8.64	0.94	15.13	🟢	—	—	0.13	🟢	—	—	—
															—	—	—	
Vanguard Inflation-Protected Secs Adm <i>percentile rank</i> <i># of funds in category</i>	VAIPX	Inflation- Protected Bond	-6.22 <i>63</i> <i>215</i>	1.67 <i>65</i> <i>202</i>	2.85 <i>39</i> <i>195</i>	1.39 <i>32</i> <i>137</i>	🟢	7.02	0.99	1.39	🟠*	—	—	0.10	🟢	3	—	—
															02-28-2023	—	—	
Baird Aggregate Bond Inst <i>percentile rank</i> <i># of funds in category</i>	BAGIX	Intermediate- Term Bond	-4.67 <i>29</i> <i>465</i>	-1.98 <i>34</i> <i>418</i>	1.16 <i>20</i> <i>382</i>	1.75 <i>9</i> <i>286</i>	🟢	6.54	1.04	22.52	🟢	📦	—	0.30	🟢	3	1-Low	—
															02-28-2023	02-15-2022	—	
Lincoln NY Stable Value Account -LYGPA <i>percentile rank</i> <i># of funds in category</i>		Stable Value	— <i>—</i> <i>—</i>	— <i>—</i> <i>—</i>	— <i>—</i> <i>—</i>	— <i>—</i> <i>—</i>	—	—	—	26.51	🟢	—	—	—	—	—	—	—
															—	—	—	
Federated Hermes Govt Ultrashort R6 <i>percentile rank</i> <i># of funds in category</i>	FGULX	Ultrashort Bond	2.12 <i>48</i> <i>237</i>	1.01 <i>72</i> <i>216</i>	1.38 <i>63</i> <i>180</i>	— <i>—</i> <i>106</i>	🟢	0.64	0.05	25.74	🟢	—	—	0.24	🟢	—	—	—
															—	—	—	
<b>Target Date</b>																		
Vanguard Target Retirement 2020 Fund <i>percentile rank</i> <i># of funds in category</i>	VTWNX	Target Date	-5.26 <i>29</i> <i>146</i>	6.90 <i>53</i> <i>135</i>	4.30 <i>42</i> <i>121</i>	5.77 <i>25</i> <i>56</i>	🟢	10.66	0.89	10.11	🟢	—	🟢	0.08	🟢	3	1-Low	—
															02-28-2023	02-15-2022	—	
Vanguard Target Retirement 2025 Fund <i>percentile rank</i> <i># of funds in category</i>	VTTVX	Target Date	-5.91 <i>45</i> <i>215</i>	8.31 <i>39</i> <i>190</i>	4.77 <i>37</i> <i>170</i>	6.35 <i>18</i> <i>103</i>	🟢	12.34	0.95	10.11	🟢	—	🟢	0.08	🟢	3	1-Low	Low Risk
															02-28-2023	02-15-2022	12-31-2022	
Vanguard Target Retirement 2030 Fund <i>percentile rank</i> <i># of funds in category</i>	VTHRX	Target Date	-6.22 <i>40</i> <i>217</i>	9.67 <i>50</i> <i>190</i>	5.21 <i>40</i> <i>170</i>	6.89 <i>26</i> <i>97</i>	🟢	13.51	0.96	10.11	🟢	—	🟢	0.08	🟢	3	1-Low	Low Risk
															02-28-2023	02-15-2022	12-31-2022	

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														Updated	Updated	Updated		
Vanguard Target Retirement 2035 Fund	VTHX	Target Date	-6.36	11.12	5.68	7.43	✓	14.54	0.95	10.11	✓	—	✓	0.08	✓	3	1-Low	Low Risk
<i>percentile rank</i>			35	57	45	31												
<i># of funds in category</i>			208	183	167	100												
														02-28-2023	02-15-2022	12-31-2022		
Vanguard Target Retirement 2040 Fund	VFORX	Target Date	-6.53	12.58	6.12	7.91	✓	15.57	0.96	10.11	✓	—	✓	0.08	✓	3	1-Low	Low Risk
<i>percentile rank</i>			33	64	40	25												
<i># of funds in category</i>			212	184	170	97												
														02-28-2023	02-15-2022	12-31-2022		
Vanguard Target Retirement 2045 Fund	VTIVX	Target Date	-6.70	14.06	6.59	8.22	✓	16.65	0.98	10.11	✓	—	✓	0.08	✓	3	1-Low	Low Risk
<i>percentile rank</i>			36	46	32	18												
<i># of funds in category</i>			208	183	167	100												
														02-28-2023	02-15-2022	12-31-2022		
Vanguard Target Retirement 2050 Fund	VFIFX	Target Date	-6.71	14.17	6.66	8.26	✓	16.89	0.98	10.11	✓	—	✓	0.08	✓	3	1-Low	Low Risk
<i>percentile rank</i>			34	49	34	20												
<i># of funds in category</i>			209	184	170	97												
														02-28-2023	02-15-2022	12-31-2022		
Vanguard Target Retirement 2055 Fund	VFFVX	Target Date	-6.67	14.17	6.66	8.24	✓	16.88	0.98	10.11	✓	—	✓	0.08	✓	3	1-Low	Low Risk
<i>percentile rank</i>			32	60	37	27												
<i># of funds in category</i>			208	183	167	82												
														02-28-2023	02-15-2022	12-31-2022		
Vanguard Target Retirement 2060 Fund	VTTSX	Target Date	-6.67	14.16	6.65	8.24	✓	16.86	1.31	10.11	✓	—	✓	0.08	✓	3	1-Low	Low Risk
<i>percentile rank</i>			34	64	38	1												
<i># of funds in category</i>			208	178	157	8												
														02-28-2023	02-15-2022	12-31-2022		
Vanguard Target Retirement 2065 Fund	VLXVX	Target Date	-6.64	14.17	6.64	—	✖	16.86	1.31	5.72	✓	—	✓	0.08	✓	3	1-Low	Low Risk
<i>percentile rank</i>			24	70	50	—												
<i># of funds in category</i>			158	66	10	—												
														02-28-2023	02-15-2022	12-31-2022		
Vanguard Target Retirement 2070 Fund	VSVNX	Target Date	—	—	—	—	—	—	—	0.76	✖	—	✓	0.08	✓	3	—	Low Risk
<i>percentile rank</i>			—	—	—	—												
<i># of funds in category</i>			158	66	10	—												
														02-28-2023	—	12-31-2022		
Vanguard Target Retirement Income Fund	VTINX	Target Date	-4.50	3.83	3.26	3.79	✓	8.42	0.90	10.11	✓	—	✓	0.08	✓	3	1-Low	—
<i>percentile rank</i>			39	53	35	39												
<i># of funds in category</i>			157	140	123	82												
														02-28-2023	02-15-2022	—		
<b>Balanced</b>																		
Vanguard LifeStrategy Cnsvr Gr Inv	VSCGX	Target Risk	-5.55	4.62	3.55	4.59	✓	10.13	1.02	1.09	✖	—	✓	0.12	✓	3	1-Low	—
<i>percentile rank</i>			50	80	38	24												
<i># of funds in category</i>			479	441	417	302												
														02-28-2023	02-15-2022	—		
Vanguard LifeStrategy Growth Inv	VASGX	Target Risk	-6.60	12.16	6.03	7.54	✓	15.48	1.02	1.09	✖	—	✓	0.14	✓	3	1-Low	Low Risk
<i>percentile rank</i>			48	56	35	27												
<i># of funds in category</i>			333	297	282	224												
														02-28-2023	02-15-2022	12-31-2022		
Vanguard LifeStrategy Income Inv	VASIX	Target Risk	-5.11	0.89	2.17	3.00	✓	7.72	1.00	1.09	✖	—	✓	0.11	✓	3	1-Low	—
<i>percentile rank</i>			63	84	54	41												
<i># of funds in category</i>			145	132	130	93												
														02-28-2023	02-15-2022	—		
Vanguard LifeStrategy Moderate Gr Inv	VSMGX	Target Risk	-6.05	8.35	4.82	6.09	✓	12.76	0.99	1.09	✖	—	✓	0.13	✓	3	1-Low	Low Risk
<i>percentile rank</i>			40	82	57	49												
<i># of funds in category</i>			750	683	656	485												
														02-28-2023	02-15-2022	12-31-2022		