

LE BERNARDIN INC 401K PLAN

Screens Summary (Data as of 03-31-2023)

🚫 Fund Removed from Approved List ⚠️ Fund on Watch List

| Name | Ticker | Asset Class | Trailing Performance and Risk | | | | Management | | | Style | | Expense | | ESG Metrics | | | | |
|--|--------|---------------------------|-------------------------------|-------|-------|-------|------------|----------------|-------------|--------|------------|-----------|------------|-------------|-----------------|---------------|-------------|-------------|
| | | | 1 Yr | 3 Yr | 5 Yr | 10 Yr | Pass/ Fail | Std Dev 3 Yr % | Beta 3 Yr % | Tenure | Pass/ Fail | Style Box | Pass/ Fail | Exp Ratio | Sustain. Rating | Commit. Level | Carbon Risk | |
| | | | | | | | | | | | | | | | | | | |
| US Stock | | | | | | | | | | | | | | | | | | |
| DFA US Core Equity 1 I | DFEOX | Large Blend | -6.13 | 20.82 | 10.01 | 11.47 | ✔️ | 19.34 | 0.99 | 11.09 | ✔️ | 🇺🇸 | ✔️ | 0.14 | ✔️ | 2 | - | Medium Risk |
| percentile rank | | | 30 | 8 | 53 | 43 | | | | | | | | | | | | |
| # of funds in category | | | 1,372 | 1,240 | 1,130 | 835 | | | | | | | | | | | | |
| ----- | | | | | | | | | | | | | | | | | | |
| Vanguard 500 Index Admiral | VFIAX | Large Blend Index | -7.77 | 18.56 | 11.15 | 12.20 | ✔️ | 19.23 | 1.00 | 6.93 | ✔️ | 🇺🇸 | ✔️ | 0.04 | ✔️ | 3 | 1-Low | Low Risk |
| percentile rank | | | 53 | 31 | 21 | 11 | | | | | | | | | | | | |
| # of funds in category | | | 1,372 | 1,240 | 1,130 | 835 | | | | | | | | | | | | |
| ----- | | | | | | | | | | | | | | | | | | |
| American Funds Growth Fund of Amer R6 | RGAGX | Large Growth | -13.47 | 14.64 | 9.24 | 12.19 | ✔️ | 22.06 | 0.93 | 29.43 | ✔️ | 🇺🇸 | ✔️ | 0.30 | ✔️ | 1 | 1-Low | Low Risk |
| percentile rank | | | 63 | 58 | 70 | 50 | | | | | | | | | | | | |
| # of funds in category | | | 1,253 | 1,142 | 1,056 | 813 | | | | | | | | | | | | |
| ----- | | | | | | | | | | | | | | | | | | |
| DFA US Large Cap Value I | DFLVX | Large Value | -5.00 | 20.73 | 6.46 | 9.51 | ✔️ | 19.89 | 1.09 | 11.09 | ✔️ | 🇺🇸 | ✔️ | 0.21 | ✔️ | 2 | - | Medium Risk |
| percentile rank | | | 53 | 30 | 80 | 38 | | | | | | | | | | | | |
| # of funds in category | | | 1,241 | 1,159 | 1,107 | 825 | | | | | | | | | | | | |
| ----- | | | | | | | | | | | | | | | | | | |
| Vanguard Mid-Cap Growth Index Admiral | VMGMX | Mid-Cap Growth | -11.75 | 16.18 | 9.28 | 10.55 | ✔️ | 23.16 | 1.00 | 10.11 | ✔️ | 🇺🇸 | ✔️ | 0.07 | ✔️ | 3 | 1-Low | Medium Risk |
| percentile rank | | | 56 | 37 | 31 | 47 | | | | | | | | | | | | |
| # of funds in category | | | 582 | 534 | 503 | 392 | | | | | | | | | | | | |
| ----- | | | | | | | | | | | | | | | | | | |
| Vanguard Mid-Cap Value Index Admiral | VMVAX | Mid-Cap Value | -8.26 | 20.86 | 6.61 | 9.46 | ✔️ | 19.20 | 0.95 | 16.63 | ✔️ | 🇺🇸 | ✔️ | 0.07 | ✔️ | 4 | 1-Low | Medium Risk |
| percentile rank | | | 75 | 66 | 52 | 19 | | | | | | | | | | | | |
| # of funds in category | | | 400 | 386 | 366 | 268 | | | | | | | | | | | | |
| ----- | | | | | | | | | | | | | | | | | | |
| State St Russell Sm Cap® Gr Indx NL CI C | | Small Growth | -10.68 | 13.15 | 4.09 | 8.35 | ✔️ | 24.07 | 1.00 | 13.58 | ✔️ | 🇺🇸 | ✔️ | 0.05 | ✔️ | 2 | - | Medium Risk |
| percentile rank | | | 43 | 74 | 89 | 77 | | | | | | | | | | | | |
| # of funds in category | | | 608 | 578 | 540 | 406 | | | | | | | | | | | | |
| ----- | | | | | | | | | | | | | | | | | | |
| State St Russell Sm Cp® Val Indx NL CI C | | Small Value | -12.97 | 20.86 | 4.58 | 7.22 | ✔️ | 23.00 | 1.00 | 13.58 | ✔️ | 🇺🇸 | ✔️ | 0.05 | ✔️ | 2 | - | Medium Risk |
| percentile rank | | | 93 | 82 | 68 | 59 | | | | | | | | | | | | |
| # of funds in category | | | 482 | 453 | 430 | 323 | | | | | | | | | | | | |
| ----- | | | | | | | | | | | | | | | | | | |
| Non-US Stock | | | | | | | | | | | | | | | | | | |
| Vanguard Emerging Mkts Stock Idx Adm | VEMAX | Diversified Emerging Mkts | -9.13 | 9.48 | -0.06 | 2.18 | ✔️ | 17.60 | 0.92 | 14.59 | ✔️ | 🇺🇸 | ✔️ | 0.14 | ✔️ | 2 | 1-Low | Medium Risk |
| percentile rank | | | 47 | 42 | 35 | 41 | | | | | | | | | | | | |
| # of funds in category | | | 835 | 746 | 657 | 398 | | | | | | | | | | | | |
| ----- | | | | | | | | | | | | | | | | | | |
| Vanguard Developed Markets Index Admiral | VTMGX | Foreign Large Blend Index | -2.91 | 13.85 | 3.42 | 5.24 | ✔️ | 19.22 | 1.09 | 10.11 | ✔️ | 🇺🇸 | ✔️ | 0.07 | ✔️ | 2 | 1-Low | Low Risk |
| percentile rank | | | 58 | 25 | 34 | 23 | | | | | | | | | | | | |
| # of funds in category | | | 749 | 697 | 630 | 425 | | | | | | | | | | | | |
| ----- | | | | | | | | | | | | | | | | | | |
| MFS International Equity R6 | MIEIX | Foreign Large Growth | 1.64 | 14.36 | 6.86 | 6.88 | ✔️ | 18.54 | 1.03 | 13.50 | ✔️ | 🇺🇸 | ✔️ | 0.68 | ✔️ | 4 | 2-Basic | Low Risk |
| percentile rank | | | 11 | 19 | 3 | 4 | | | | | | | | | | | | |
| # of funds in category | | | 749 | 697 | 630 | 425 | | | | | | | | | | | | |
| ----- | | | | | | | | | | | | | | | | | | |
| DFA International Value I | DFIVX | Foreign Large Value | 0.40 | 20.49 | 2.85 | 5.04 | ✔️ | 20.52 | 1.04 | 13.09 | ✔️ | 🇺🇸 | ✔️ | 0.28 | ✔️ | 1 | - | Medium Risk |
| percentile rank | | | 38 | 7 | 33 | 15 | | | | | | | | | | | | |
| # of funds in category | | | 365 | 334 | 311 | 195 | | | | | | | | | | | | |
| ----- | | | | | | | | | | | | | | | | | | |
| Vanguard Total World Stock Index Admiral | VTWAX | Global Stock | -6.97 | 15.92 | - | - | ✔️ | 18.31 | 1.01 | 10.11 | ✔️ | --- | ✔️ | 0.10 | ✔️ | 2 | 1-Low | Low Risk |
| percentile rank | | | 73 | 37 | - | - | | | | | | | | | | | | |
| # of funds in category | | | 369 | 335 | 289 | 182 | | | | | | | | | | | | |

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🔴 Fund Removed from Approved List 🟡 Fund on Watch List

| Name | Ticker | Asset Class | Trailing Performance and Risk | | | | Management | | | Style | | Expense | | ESG Metrics | | | | |
|--|--------|--------------------------|-------------------------------|-------|------|-------|------------|----------------|-------------|--------|------------|-----------|------------|-------------|-----------------|---------------|-------------|----------|
| | | | 1 Yr | 3 Yr | 5 Yr | 10 Yr | Pass/ Fail | Std Dev 3 Yr % | Beta 3 Yr % | Tenure | Pass/ Fail | Style Box | Pass/ Fail | Exp Ratio | Sustain. Rating | Commit. Level | Carbon Risk | |
| | | | | | | | | | | | | | | | | | | |
| Alternative | | | | | | | | | | | | | | | | | | |
| PIMCO Commodity Real Ret Strat Instl | PCRIX | Commodities Broad Basket | -14.75 | 25.82 | 6.73 | -1.64 | ✔️ | 18.26 | 1.12 | 4.41 | ✔️ | — | — | 0.76 | ✔️ | 3 | — | — |
| <i>percentile rank</i> | | | 86 | 33 | 31 | 67 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 114 | 108 | 93 | 65 | | | | | | | | | 12-31-2022 | — | — | |
| Vanguard Real Estate Index Admiral | VGSLX | Real Estate | -20.10 | 9.83 | 5.82 | 5.79 | ✔️ | 20.41 | 1.00 | 26.90 | ✔️ | — | ✔️ | 0.12 | ✔️ | 3 | 1-Low | Low Risk |
| <i>percentile rank</i> | | | 54 | 64 | 45 | 43 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 261 | 237 | 213 | 156 | | | | | | | | | 02-28-2023 | 02-15-2022 | 12-31-2022 | |
| Fixed Income | | | | | | | | | | | | | | | | | | |
| Loomis Sayles Core Plus Bond N | NERNX | Core Plus Bond | -4.15 | -0.82 | 1.60 | 2.13 | ✔️ | 7.03 | 1.10 | 26.26 | ✔️ | — | — | 0.38 | ✔️ | 3 | 1-Low | — |
| <i>percentile rank</i> | | | 14 | 36 | 13 | 12 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 615 | 556 | 510 | 367 | | | | | | | | | 02-28-2023 | 02-15-2022 | — | |
| PIMCO International Bond (USD-Hdg) Instl | PFORX | Global Bond | -3.68 | -0.44 | 1.02 | 2.74 | ✔️ | 4.61 | 0.62 | 8.52 | ✔️ | — | — | 0.51 | ✔️ | 3 | — | — |
| <i>percentile rank</i> | | | 19 | 20 | 10 | 1 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 130 | 113 | 99 | 59 | | | | | | | | | 02-28-2023 | — | — | |
| Vanguard High-Yield Corporate Adm | VWEAX | High Yield Bond | -1.95 | 4.74 | 3.25 | 3.89 | ✔️ | 8.64 | 0.94 | 15.13 | ✔️ | — | — | 0.13 | ✔️ | — | — | — |
| <i>percentile rank</i> | | | 23 | 71 | 20 | 18 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 689 | 622 | 580 | 415 | | | | | | | | | | | | |
| Vanguard Inflation-Protected Secs Adm | VAIPX | Inflation-Protected Bond | -6.22 | 1.67 | 2.85 | 1.39 | ✔️ | 7.02 | 0.99 | 1.39 | ❌ | — | — | 0.10 | ✔️ | 3 | — | — |
| <i>percentile rank</i> | | | 63 | 65 | 39 | 32 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 215 | 202 | 195 | 137 | | | | | | | | | 02-28-2023 | — | — | |
| Baird Aggregate Bond Inst | BAGIX | Intermediate-Term Bond | -4.67 | -1.98 | 1.16 | 1.75 | ✔️ | 6.54 | 1.04 | 22.52 | ✔️ | 📊 | — | 0.30 | ✔️ | 3 | 1-Low | — |
| <i>percentile rank</i> | | | 29 | 34 | 20 | 9 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 465 | 418 | 382 | 286 | | | | | | | | | 02-28-2023 | 02-15-2022 | — | |
| Lincoln NY Stable Value Account -LYGPA | | Stable Value | — | — | — | — | — | — | — | 26.51 | ✔️ | — | — | — | — | — | — | — |
| <i>percentile rank</i> | | | — | — | — | — | | | | | | | | | | | | |
| <i># of funds in category</i> | | | — | — | — | — | | | | | | | | | | | | |
| Federated Hermes Govt Ultrashort R6 | FGULX | Ultrashort Bond | 2.12 | 1.01 | 1.38 | — | ✔️ | 0.64 | 0.05 | 25.74 | ✔️ | — | — | 0.24 | ✔️ | — | — | — |
| <i>percentile rank</i> | | | 48 | 72 | 63 | — | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 237 | 216 | 180 | 106 | | | | | | | | | | | | |
| Target Date | | | | | | | | | | | | | | | | | | |
| Vanguard Target Retirement 2020 Fund | VTWNX | Target Date | -5.26 | 6.90 | 4.30 | 5.77 | ✔️ | 10.66 | 0.89 | 10.11 | ✔️ | — | ✔️ | 0.08 | ✔️ | 3 | 1-Low | — |
| <i>percentile rank</i> | | | 29 | 53 | 42 | 25 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 146 | 135 | 121 | 56 | | | | | | | | | 02-28-2023 | 02-15-2022 | — | |
| Vanguard Target Retirement 2025 Fund | VTVX | Target Date | -5.91 | 8.31 | 4.77 | 6.35 | ✔️ | 12.34 | 0.95 | 10.11 | ✔️ | — | ✔️ | 0.08 | ✔️ | 3 | 1-Low | Low Risk |
| <i>percentile rank</i> | | | 45 | 39 | 37 | 18 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 215 | 190 | 170 | 103 | | | | | | | | | 02-28-2023 | 02-15-2022 | 12-31-2022 | |
| Vanguard Target Retirement 2030 Fund | VTHRX | Target Date | -6.22 | 9.67 | 5.21 | 6.89 | ✔️ | 13.51 | 0.96 | 10.11 | ✔️ | — | ✔️ | 0.08 | ✔️ | 3 | 1-Low | Low Risk |
| <i>percentile rank</i> | | | 40 | 50 | 40 | 26 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 217 | 190 | 170 | 97 | | | | | | | | | 02-28-2023 | 02-15-2022 | 12-31-2022 | |

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⊖ Fund Removed from Approved List ⚠ Fund on Watch List

| Name | Ticker | Asset Class | Trailing Performance and Risk | | | | Management | | | Style | | Expense | | ESG Metrics | | | | |
|--|--------|-------------|-------------------------------|-------|------|-------|------------|----------------|-------------|--------|------------|-----------|------------|-------------|-----------------|---------------|-------------|----------|
| | | | 1 Yr | 3 Yr | 5 Yr | 10 Yr | Pass/ Fail | Std Dev 3 Yr % | Beta 3 Yr % | Tenure | Pass/ Fail | Style Box | Pass/ Fail | Exp Ratio | Sustain. Rating | Commit. Level | Carbon Risk | |
| | | | | | | | | | | | | | | Updated | Updated | Updated | | |
| Vanguard Target Retirement 2035 Fund | VTHX | Target Date | -6.36 | 11.12 | 5.68 | 7.43 | ✔ | 14.54 | 0.95 | 10.11 | ✔ | — | ✔ | 0.08 | ✔ | 3 | 1-Low | Low Risk |
| <i>percentile rank</i> | | | 35 | 57 | 45 | 31 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 208 | 183 | 167 | 100 | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | 02-28-2023 | 02-15-2022 | 12-31-2022 | |
| Vanguard Target Retirement 2040 Fund | VFORX | Target Date | -6.53 | 12.58 | 6.12 | 7.91 | ✔ | 15.57 | 0.96 | 10.11 | ✔ | — | ✔ | 0.08 | ✔ | 3 | 1-Low | Low Risk |
| <i>percentile rank</i> | | | 33 | 64 | 40 | 25 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 212 | 184 | 170 | 97 | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | 02-28-2023 | 02-15-2022 | 12-31-2022 | |
| Vanguard Target Retirement 2045 Fund | VTIVX | Target Date | -6.70 | 14.06 | 6.59 | 8.22 | ✔ | 16.65 | 0.98 | 10.11 | ✔ | — | ✔ | 0.08 | ✔ | 3 | 1-Low | Low Risk |
| <i>percentile rank</i> | | | 36 | 46 | 32 | 18 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 208 | 183 | 167 | 100 | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | 02-28-2023 | 02-15-2022 | 12-31-2022 | |
| Vanguard Target Retirement 2050 Fund | VFIFX | Target Date | -6.71 | 14.17 | 6.66 | 8.26 | ✔ | 16.89 | 0.98 | 10.11 | ✔ | — | ✔ | 0.08 | ✔ | 3 | 1-Low | Low Risk |
| <i>percentile rank</i> | | | 34 | 49 | 34 | 20 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 209 | 184 | 170 | 97 | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | 02-28-2023 | 02-15-2022 | 12-31-2022 | |
| Vanguard Target Retirement 2055 Fund | VFFVX | Target Date | -6.67 | 14.17 | 6.66 | 8.24 | ✔ | 16.88 | 0.98 | 10.11 | ✔ | — | ✔ | 0.08 | ✔ | 3 | 1-Low | Low Risk |
| <i>percentile rank</i> | | | 32 | 60 | 37 | 27 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 208 | 183 | 167 | 82 | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | 02-28-2023 | 02-15-2022 | 12-31-2022 | |
| Vanguard Target Retirement 2060 Fund | VTTSX | Target Date | -6.67 | 14.16 | 6.65 | 8.24 | ✔ | 16.86 | 1.31 | 10.11 | ✔ | — | ✔ | 0.08 | ✔ | 3 | 1-Low | Low Risk |
| <i>percentile rank</i> | | | 34 | 64 | 38 | 1 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 208 | 178 | 157 | 8 | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | 02-28-2023 | 02-15-2022 | 12-31-2022 | |
| Vanguard Target Retirement 2065 Fund | VLXVX | Target Date | -6.64 | 14.17 | 6.64 | — | ✖ | 16.86 | 1.31 | 5.72 | ✔ | — | ✔ | 0.08 | ✔ | 3 | 1-Low | Low Risk |
| <i>percentile rank</i> | | | 24 | 70 | 50 | — | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 158 | 66 | 10 | — | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | 02-28-2023 | 02-15-2022 | 12-31-2022 | |
| Vanguard Target Retirement 2070 Fund | VSVNX | Target Date | — | — | — | — | — | — | — | 0.76 | ✖ | — | ✔ | 0.08 | ✔ | 3 | — | Low Risk |
| <i>percentile rank</i> | | | — | — | — | — | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 158 | 66 | 10 | — | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | 02-28-2023 | — | 12-31-2022 | |
| Vanguard Target Retirement Income Fund | VTINX | Target Date | -4.50 | 3.83 | 3.26 | 3.79 | ✔ | 8.42 | 0.90 | 10.11 | ✔ | — | ✔ | 0.08 | ✔ | 3 | 1-Low | — |
| <i>percentile rank</i> | | | 39 | 53 | 35 | 39 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 157 | 140 | 123 | 82 | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | 02-28-2023 | 02-15-2022 | — | |
| Balanced | | | | | | | | | | | | | | | | | | |
| Vanguard LifeStrategy Cnsvr Gr Inv | VSCGX | Target Risk | -5.55 | 4.62 | 3.55 | 4.59 | ✔ | 10.13 | 1.02 | 1.09 | ✖ | — | ✔ | 0.12 | ✔ | 3 | 1-Low | — |
| <i>percentile rank</i> | | | 50 | 80 | 38 | 24 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 479 | 441 | 417 | 302 | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | 02-28-2023 | 02-15-2022 | — | |
| Vanguard LifeStrategy Growth Inv | VASGX | Target Risk | -6.60 | 12.16 | 6.03 | 7.54 | ✔ | 15.48 | 1.02 | 1.09 | ✖ | — | ✔ | 0.14 | ✔ | 3 | 1-Low | Low Risk |
| <i>percentile rank</i> | | | 48 | 56 | 35 | 27 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 333 | 297 | 282 | 224 | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | 02-28-2023 | 02-15-2022 | 12-31-2022 | |
| Vanguard LifeStrategy Income Inv | VASIX | Target Risk | -5.11 | 0.89 | 2.17 | 3.00 | ✔ | 7.72 | 1.00 | 1.09 | ✖ | — | ✔ | 0.11 | ✔ | 3 | 1-Low | — |
| <i>percentile rank</i> | | | 63 | 84 | 54 | 41 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 145 | 132 | 130 | 93 | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | 02-28-2023 | 02-15-2022 | — | |
| Vanguard LifeStrategy Moderate Gr Inv | VSMGX | Target Risk | -6.05 | 8.35 | 4.82 | 6.09 | ✔ | 12.76 | 0.99 | 1.09 | ✖ | — | ✔ | 0.13 | ✔ | 3 | 1-Low | Low Risk |
| <i>percentile rank</i> | | | 40 | 82 | 57 | 49 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 750 | 683 | 656 | 485 | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | 02-28-2023 | 02-15-2022 | 12-31-2022 | |