

TAKSEY NEFF & ASSOCIATES LLC 401K

Screens Summary (Data as of 03-31-2023)

⊖ Fund Removed from Approved List ⚠ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk							Management Style			Expense		ESG Metrics			
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating	Commit. Level	Carbon Risk
US Stock																		
Vanguard Dividend Growth Inv	VDIGX	Large Blend	-2.78	17.22	12.05	11.80	✓	16.07	0.77	17.17	✓	☐	✓	0.27	✓	4	2-Basic	Low Risk
percentile rank			8	69	5	28												
# of funds in category			1,372	1,240	1,130	835												

Vanguard 500 Index Admiral	VFIAX	Large Blend Index	-7.77	18.56	11.15	12.20	✓	19.23	1.00	6.93	✓	☐	✓	0.04	✓	3	1-Low	Low Risk
percentile rank			53	31	21	11												
# of funds in category			1,372	1,240	1,130	835												

MFS Growth R6	MFEKX	Large Growth	-12.94	12.14	10.85	13.19	✓	21.89	0.94	21.01	✓	☐	✓	0.50	✓	4	2-Basic	Low Risk
percentile rank			59	80	43	29												
# of funds in category			1,253	1,142	1,056	813												

Vanguard Value Index Adm	VVIAX	Large Value	-3.99	18.69	8.85	10.52	✓	17.20	0.95	28.27	✓	☐	✓	0.05	✓	2	1-Low	Medium Risk
percentile rank			37	51	32	14												
# of funds in category			1,241	1,159	1,107	825												

MFS Mid Cap Growth R6	OTCKX	Mid-Cap Growth	-8.69	12.50	9.62	11.98	✓	21.60	0.91	14.37	✓	☐	✓	0.66	✓	5	2-Basic	Low Risk
percentile rank			31	76	24	13												
# of funds in category			582	534	503	392												

Vanguard Selected Value Inv	VASVX	Mid-Cap Value	-3.01	28.00	7.28	9.34	✓	22.73	1.10	18.10	✓	☐	✓	0.38	✓	4	-	Medium Risk
percentile rank			19	11	39	27												
# of funds in category			400	386	366	268												

Franklin Small Cap Growth R6	FSMLX	Small Growth	-11.42	13.85	6.16	-	✓	24.70	0.98	22.93	✓	☐	✓	0.64	✓	2	-	Medium Risk
percentile rank			49	68	64	-												
# of funds in category			608	578	540	406												

Delaware Small Cap Value R6	DVZRX	Small Value	-10.67	21.84	4.73	-	✓	23.08	0.97	10.75	✓	☐	✓	0.70	✓	3	-	Medium Risk
percentile rank			81	73	66	-												
# of funds in category			482	453	430	323												

Non-US Stock																		
American Funds New World R6	RNWGX	Diversified Emerging Mkts	-6.90	12.18	4.10	5.50	✓	18.28	0.86	23.80	✓	☐	✓	0.57	✓	3	1-Low	Medium Risk
percentile rank			24	22	3	1												
# of funds in category			835	746	657	398												

Vanguard Developed Markets Index Admiral	VTMGX	Foreign Large Blend Index	-2.91	13.85	3.42	5.24	✓	19.22	1.09	10.11	✓	☐	✓	0.07	✓	2	1-Low	Low Risk
percentile rank			58	25	34	23												
# of funds in category			749	697	630	425												

Vanguard International Growth Adm	VWILX	Foreign Large Growth	-6.81	13.48	6.54	8.79	✓	25.16	1.20	13.26	✓	☐	✓	0.34	✓	3	-	Low Risk
percentile rank			67	12	13	5												
# of funds in category			449	404	350	235												

Vanguard International Value Inv	VTRIX	Foreign Large Value	1.16	15.30	3.19	4.96	✓	19.28	1.02	12.85	✓	☐	✗	0.38	✓	2	-	Medium Risk
percentile rank			30	41	25	20												
# of funds in category			365	334	311	195												

American Funds New Perspective R6	RNPGX	Global Stock	-8.85	16.61	9.35	10.70	✓	21.06	1.14	22.34	✓	—	✓	0.41	✓	2	2-Basic	Low Risk
percentile rank			53	14	16	9												
# of funds in category			365	306	269	180												

TAKSEY NEFF & ASSOCIATES LLC 401K

Screens Summary (Data as of 03-31-2023)

🔴 Fund Removed from Approved List 🟡 Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style			Expense			ESG Metrics			
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating	Commit. Level	Carbon Risk	
																	Updated	Updated	Updated
Alternative																			
PIMCO Commodity Real Ret Strat Instl	PCRIX	Commodities Broad Basket	-14.75	25.82	6.73	-1.64	✔️	18.26	1.12	4.41	✔️	—	—	0.76	✔️	3	—	—	
<i>percentile rank</i>			86	33	31	67													
<i># of funds in category</i>			114	108	93	65									12-31-2022	—	—		
Vanguard Real Estate Index Admiral	VGSLX	Real Estate	-20.10	9.83	5.82	5.79	✔️	20.41	1.00	26.90	✔️	—	✔️	0.12	✔️	3	1-Low	Low Risk	
<i>percentile rank</i>			54	64	45	43													
<i># of funds in category</i>			261	237	213	156									02-28-2023	02-15-2022	12-31-2022		
Fixed Income																			
PGIM Global Total Return R6	PGTQX	Global Bond	-10.03	-3.47	-1.92	0.91	✔️	10.76	1.04	20.51	✔️	—	—	0.53	✔️	1	—	—	
<i>percentile rank</i>			78	79	51	14													
<i># of funds in category</i>			204	197	174	140									09-30-2022	—	—		
Eaton Vance Income Fund of Boston R6	EIBRX	High Yield Bond	-1.22	6.74	3.63	—	✔️	8.39	0.92	8.41	✔️	—	—	0.66	✔️	—	—	—	
<i>percentile rank</i>			16	22	11	—													
<i># of funds in category</i>			689	622	580	415													
Vanguard Inflation-Protected Secs Adm	VAIPX	Inflation-Protected Bond	-6.22	1.67	2.85	1.39	✔️	7.02	0.99	1.39	❌	—	—	0.10	✔️	3	—	—	
<i>percentile rank</i>			63	65	39	32													
<i># of funds in category</i>			215	202	195	137									02-28-2023	—	—		
Baird Aggregate Bond Inst	BAGIX	Intermediate-Term Bond	-4.67	-1.98	1.16	1.75	✔️	6.54	1.04	22.52	✔️	📊	—	0.30	✔️	3	1-Low	—	
<i>percentile rank</i>			29	34	20	9													
<i># of funds in category</i>			465	418	382	286									02-28-2023	02-15-2022	—		
PIMCO Income Instl	PIMIX	Multisector Bond	-1.30	3.59	2.26	3.98	✔️	6.04	0.86	16.01	✔️	—	—	0.51	✔️	—	—	—	
<i>percentile rank</i>			17	41	22	1													
<i># of funds in category</i>			346	292	255	158													
Federated Hermes Govt Ultrashort R6	FGULX	Ultrashort Bond	2.12	1.01	1.38	—	✔️	0.64	0.05	25.74	✔️	—	—	0.24	✔️	—	—	—	
<i>percentile rank</i>			48	72	63	—													
<i># of funds in category</i>			237	216	180	106													
Target Date																			
T. Rowe Price Retirement I 2010 I	TRPAX	Target Date	-5.69	7.33	4.32	—	✔️	10.41	1.03	7.51	✔️	—	✔️	0.34	✔️	2	1-Low	—	
<i>percentile rank</i>			86	1	9	—													
<i># of funds in category</i>			122	113	90	50									02-28-2023	02-15-2022	—		
T. Rowe Price Retirement I 2015 I	TRFGX	Target Date	-5.79	8.24	4.65	—	✔️	11.01	0.99	7.51	✔️	—	✔️	0.36	✔️	2	1-Low	—	
<i>percentile rank</i>			76	1	5	—													
<i># of funds in category</i>			118	108	94	43									02-28-2023	02-15-2022	—		
T. Rowe Price Retirement I 2020 I	TRBRX	Target Date	-5.81	9.33	5.00	—	✔️	11.70	0.96	7.51	✔️	—	✔️	0.37	✔️	2	1-Low	—	
<i>percentile rank</i>			57	1	3	—													
<i># of funds in category</i>			146	135	121	56									02-28-2023	02-15-2022	—		
T. Rowe Price Retirement I 2025 I	TRPHX	Target Date	-6.29	10.61	5.42	—	✔️	12.85	0.98	7.51	✔️	—	✔️	0.39	✔️	3	1-Low	Low Risk	
<i>percentile rank</i>			61	1	4	—													
<i># of funds in category</i>			215	190	170	103									02-28-2023	02-15-2022	12-31-2021		

TAKSEY NEFF & ASSOCIATES LLC 401K

Screens Summary (Data as of 03-31-2023)

⊖ Fund Removed from Approved List ⚠ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense		ESG Metrics					
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating	Commit. Level	Carbon Risk	
																	Updated	Updated	Updated
T. Rowe Price Retirement I 2030 I	TRPCX	Target Date	-6.87	11.81	5.82	-	✓	14.30	1.01	7.51	✓	—	✓	0.41	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			74	1	10	-													
<i># of funds in category</i>			217	190	170	97									02-28-2023	02-15-2022	12-31-2022		
T. Rowe Price Retirement I 2035 I	TRPJX	Target Date	-7.24	12.91	6.19	-	✓	15.54	1.02	7.51	✓	—	✓	0.42	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			73	8	16	-													
<i># of funds in category</i>			208	183	167	100									02-28-2023	02-15-2022	12-31-2022		
T. Rowe Price Retirement I 2040 I	TRPDX	Target Date	-7.61	13.89	6.54	-	✓	16.62	1.02	7.51	✓	—	✓	0.43	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			81	16	20	-													
<i># of funds in category</i>			212	184	170	97									02-28-2023	02-15-2022	12-31-2022		
T. Rowe Price Retirement I 2045 I	TRPKX	Target Date	-7.64	14.64	6.77	-	✓	17.17	1.01	7.51	✓	—	✓	0.44	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			78	19	25	-													
<i># of funds in category</i>			208	183	167	100									02-28-2023	02-15-2022	12-31-2022		
T. Rowe Price Retirement I 2050 I	TRPMX	Target Date	-7.69	14.65	6.79	-	✓	17.31	1.00	7.51	✓	—	✓	0.45	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			74	25	23	-													
<i># of funds in category</i>			209	184	170	97									02-28-2023	02-15-2022	12-31-2022		
T. Rowe Price Retirement I 2055 I	TRPNX	Target Date	-7.76	14.64	6.79	-	✓	17.32	1.00	7.51	✓	—	✓	0.46	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			75	31	27	-													
<i># of funds in category</i>			208	183	167	82									02-28-2023	02-15-2022	12-31-2022		
T. Rowe Price Retirement I 2060 I	TRPLX	Target Date	-7.75	14.66	6.79	-	✓	17.32	1.34	7.51	✓	—	✓	0.46	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			73	39	30	-													
<i># of funds in category</i>			208	178	157	8									02-28-2023	02-15-2022	12-31-2022		
T. Rowe Price Retirement I 2065 I	TRFKX	Target Date	-7.80	-	-	-	—	-	-	2.46	✗	—	✓	0.46	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			75	-	-	-													
<i># of funds in category</i>			158	66	10	-									02-28-2023	02-15-2022	12-31-2022		

Balanced

Vanguard LifeStrategy Cnsvr Gr Inv	VSCGX	Target Risk	-5.55	4.62	3.55	4.59	✓	10.13	1.02	1.09	✗	—	✓	0.12	✓	3	1-Low	-
<i>percentile rank</i>			50	80	38	24												
<i># of funds in category</i>			479	441	417	302									02-28-2023	02-15-2022	-	
Vanguard LifeStrategy Growth Inv	VASGX	Target Risk	-6.60	12.16	6.03	7.54	✓	15.48	1.02	1.09	✗	—	✓	0.14	✓	3	1-Low	Low Risk
<i>percentile rank</i>			48	56	35	27												
<i># of funds in category</i>			333	297	282	224									02-28-2023	02-15-2022	12-31-2022	
Vanguard LifeStrategy Income Inv	VASIX	Target Risk	-5.11	0.89	2.17	3.00	✓	7.72	1.00	1.09	✗	—	✓	0.11	✓	3	1-Low	-
<i>percentile rank</i>			63	84	54	41												
<i># of funds in category</i>			145	132	130	93									02-28-2023	02-15-2022	-	
Vanguard LifeStrategy Moderate Gr Inv	VSMGX	Target Risk	-6.05	8.35	4.82	6.09	✓	12.76	0.99	1.09	✗	—	✓	0.13	✓	3	1-Low	Low Risk
<i>percentile rank</i>			40	82	57	49												
<i># of funds in category</i>			750	683	656	485									02-28-2023	02-15-2022	12-31-2022	