

TAKSEY NEFF & ASSOCIATES LLC 401K

Screens Summary (Data as of 03-31-2024)

Fund Removed from Approved List Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk							Management Style			Expense		ESG Metrics					
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating	Commit. Level	Carbon Risk		
																	Updated	Updated	Updated	
US Stock																				
Vanguard Dividend Growth Inv	VDIGX	Large Blend	14.46	9.29	11.90	11.20		14.39	0.74	1.75				0.30		4	2-Basic	Low Risk		
percentile rank			97	69	83	62														
# of funds in category			1,591	1,449	1,319	995												02-29-2024	02-15-2022	12-31-2022
Vanguard 500 Index Admiral	VFIAX	Large Blend Index	29.83	11.44	15.01	12.92		17.60	1.00	6.34				0.04		3	1-Low	Low Risk		
percentile rank			34	24	23	9														
# of funds in category			1,591	1,449	1,319	995												02-29-2024	02-15-2022	12-31-2022
MFS Growth R6	MFEKX	Large Growth	42.99	10.39	15.80	14.77		20.75	0.97	22.01				0.51		4	2-Basic	Low Risk		
percentile rank			21	32	39	22														
# of funds in category			1,293	1,207	1,129	887												02-29-2024	02-15-2022	12-31-2022
Vanguard Value Index Adm	VVIAX	Large Value	21.09	10.19	11.53	10.44		15.62	0.94	29.27				0.05		3	1-Low	Medium Risk		
percentile rank			47	28	37	16														
# of funds in category			1,284	1,197	1,134	887												02-29-2024	02-15-2022	12-31-2022
MFS Mid Cap Growth R6	OTCKX	Mid-Cap Growth	25.17	4.13	11.67	12.09		20.49	0.91	15.37				0.66		4	2-Basic	Low Risk		
percentile rank			37	30	33	9														
# of funds in category			599	566	534	431												02-29-2024	02-15-2022	12-31-2022
Vanguard Selected Value Inv	VASVX	Mid-Cap Value	29.34	11.29	14.15	9.37		20.10	1.01	19.11				0.43		4	-	Medium Risk		
percentile rank			9	12	11	19														
# of funds in category			428	408	389	307												02-29-2024	-	12-31-2022
Franklin Small Cap Growth R6	FSMLX	Small Growth	27.25	-2.50	9.27	8.71		22.98	0.99	23.93				0.67		1	-	Medium Risk		
percentile rank			9	61	41	50														
# of funds in category			617	583	548	429												02-29-2024	-	12-31-2022
Delaware Small Cap Value R6	DVZRX	Small Value	18.45	4.70	9.03	-		20.91	0.94	11.76				0.71		3	-	Medium Risk		
percentile rank			64	66	67	-														
# of funds in category			510	476	443	352												02-29-2024	-	12-31-2022
Non-US Stock																				
American Funds New World R6	RNWGX	Diversified Emerging Mkts	14.64	-0.07	7.22	5.99		16.28	0.81	24.81				0.57		3	1-Low	Medium Risk		
percentile rank			24	25	10	2														
# of funds in category			840	743	680	450												02-29-2024	02-15-2022	12-31-2022
Vanguard Developed Markets Index Admiral	VTMGX	Foreign Large Blend Index	14.87	3.94	7.36	5.01		17.90	1.08	11.11				0.07		2	1-Low	Low Risk		
percentile rank			35	37	33	24														
# of funds in category			839	780	714	468												02-29-2024	02-15-2022	12-31-2022
Vanguard International Growth Adm	VWILX	Foreign Large Growth	7.46	-5.68	8.70	7.73		22.89	1.21	14.27				0.31		3	-	Low Risk		
percentile rank			84	91	28	11														
# of funds in category			441	413	355	248												02-29-2024	-	12-31-2022
Vanguard International Value Inv	VTRIX	Foreign Large Value	11.17	2.91	6.68	4.20		17.22	0.97	13.85				0.39		3	-	Medium Risk		
percentile rank			74	80	46	32														
# of funds in category			391	356	331	216												02-29-2024	-	12-31-2022
American Funds New Perspective R6	RNPGX	Global Stock	22.92	5.22	12.78	10.96		18.68	1.10	23.35		-		0.42		3	2-Basic	Low Risk		
percentile rank			51	40	24	16														
# of funds in category			364	330	287	194												02-29-2024	02-15-2022	12-31-2022

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			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating	Commit. Level	Carbon Risk	
																	Updated	Updated	Updated
Alternative																			
PIMCO Commodity Real Ret Strat Instl	PCRIX	Commodities Broad Basket	-1.16	8.24	7.35	-1.11	✔	17.75	1.10	5.42	✔	—	—	0.99	✔	3	—	—	
<i>percentile rank</i>			72	76	46	58													
<i># of funds in category</i>			114	108	103	67									02-29-2024	—	—		
Vanguard Real Estate Index Admiral	VGSLX	Real Estate	8.54	1.74	3.71	6.22	✔	21.76	1.02	27.90	✔	—	✔	0.12	✔	3	1-Low	Low Risk	
<i>percentile rank</i>			49	68	48	48													
<i># of funds in category</i>			276	261	240	180									02-29-2024	02-15-2022	12-31-2022		
Fixed Income																			
PGIM Global Total Return R6	PGTQX	Global Bond	5.68	-4.81	-0.91	1.15	✔	10.59	0.97	21.52	✔	—	—	0.54	✔	1	—	—	
<i>percentile rank</i>			14	60	48	12													
<i># of funds in category</i>			178	171	155	131									02-29-2024	—	—		
Eaton Vance Income Fund of Boston R6	EIBRX	High Yield Bond	9.52	3.34	4.52	—	✔	7.91	0.93	9.41	✔	—	—	0.66	✔	—	—	—	
<i>percentile rank</i>			71	17	21	—													
<i># of funds in category</i>			696	633	601	457									—	—	—		
Vanguard Inflation-Protected Secs Adm	VAIPX	Inflation-Protected Bond	0.14	-0.72	2.34	2.11	✔	7.08	0.99	2.39	✖	—	—	0.10	✔	3	—	—	
<i>percentile rank</i>			68	61	61	21													
<i># of funds in category</i>			236	222	214	156									02-29-2024	—	—		
Baird Aggregate Bond Inst	BAGIX	Intermediate-Term Bond	2.80	-2.17	0.81	1.97	✔	7.37	1.01	23.52	✔	☐	—	0.30	✔	4	1-Low	—	
<i>percentile rank</i>			21	26	22	10													
<i># of funds in category</i>			557	503	452	322									02-29-2024	02-15-2022	—		
PIMCO Income Instl	PIMIX	Multisector Bond	8.05	1.64	3.07	4.27	✔	6.30	0.81	17.02	✔	—	—	0.62	✔	4	—	—	
<i>percentile rank</i>			36	20	25	1													
<i># of funds in category</i>			362	325	279	184									02-29-2024	—	—		
Lincoln Stable Value Account -Z416		Stable Value	—	—	—	—	—	—	—	40.94	✔	—	—	—	—	—	—	—	
<i>percentile rank</i>			—	—	—	—													
<i># of funds in category</i>			43	—	—	—									—	—	—		
Federated Hermes Govt Ultrashort R6	FGULX	Ultrashort Bond	5.36	2.31	2.03	—	✔	0.93	0.06	26.74	✔	—	—	0.24	✔	—	—	—	
<i>percentile rank</i>			74	63	69	—													
<i># of funds in category</i>			249	214	194	122									—	—	—		
Target Date																			
T. Rowe Price Retirement 2010 I	TRPUX	Target Date	—	—	—	—	—	—	—	8.67	✔	—	✔	0.34	✔	3	1-Low	—	
<i>percentile rank</i>			—	—	—	—													
<i># of funds in category</i>			127	115	107	56									02-29-2024	02-15-2022	—		
T. Rowe Price Retirement 2015 I	TRUBX	Target Date	—	—	—	—	—	—	—	8.67	✔	—	✔	0.35	✔	3	1-Low	—	
<i>percentile rank</i>			—	—	—	—													
<i># of funds in category</i>			125	118	100	53									02-29-2024	02-15-2022	—		
T. Rowe Price Retirement 2020 I	TRDBX	Target Date	—	—	—	—	—	—	—	8.67	✔	—	✔	0.37	✔	3	1-Low	—	
<i>percentile rank</i>			—	—	—	—													
<i># of funds in category</i>			172	158	138	77									02-29-2024	02-15-2022	—		

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														Updated	Updated	Updated		
T. Rowe Price Retirement 2025 I <i>percentile rank</i> <i># of funds in category</i>	TREHX	Target Date	-	-	-	-	—	-	-	8.67	✔️	—	✔️	0.38	✔️	3	1-Low	-
			275	258	212	131								02-29-2024	02-15-2022	-		
T. Rowe Price Retirement 2030 I <i>percentile rank</i> <i># of funds in category</i>	TRFHX	Target Date	-	-	-	-	—	-	-	8.67	✔️	—	✔️	0.40	✔️	3	1-Low	-
			267	251	206	136								02-29-2024	02-15-2022	-		
T. Rowe Price Retirement 2035 I <i>percentile rank</i> <i># of funds in category</i>	TRFJX	Target Date	-	-	-	-	—	-	-	8.67	✔️	—	✔️	0.42	✔️	3	1-Low	-
			269	241	206	129								02-29-2024	02-15-2022	-		
T. Rowe Price Retirement 2040 I <i>percentile rank</i> <i># of funds in category</i>	TRHDX	Target Date	-	-	-	-	—	-	-	8.67	✔️	—	✔️	0.43	✔️	3	1-Low	-
			261	245	206	133								02-29-2024	02-15-2022	-		
T. Rowe Price Retirement 2045 I <i>percentile rank</i> <i># of funds in category</i>	TRIKX	Target Date	-	-	-	-	—	-	-	8.67	✔️	—	✔️	0.44	✔️	3	1-Low	-
			262	244	208	126								02-29-2024	02-15-2022	-		
T. Rowe Price Retirement 2050 I <i>percentile rank</i> <i># of funds in category</i>	TRJLX	Target Date	-	-	-	-	—	-	-	8.67	✔️	—	✔️	0.45	✔️	3	1-Low	-
			258	243	209	134								02-29-2024	02-15-2022	-		
T. Rowe Price Retirement 2055 I <i>percentile rank</i> <i># of funds in category</i>	TRJMX	Target Date	-	-	-	-	—	-	-	8.67	✔️	—	✔️	0.46	✔️	3	1-Low	-
			257	240	207	122								02-29-2024	02-15-2022	-		
T. Rowe Price Retirement 2060 I <i>percentile rank</i> <i># of funds in category</i>	TRLNX	Target Date	-	-	-	-	—	-	-	8.67	✔️	—	✔️	0.46	✔️	3	1-Low	-
			254	236	187	11								02-29-2024	02-15-2022	-		
T. Rowe Price Retirement 2065 I <i>percentile rank</i> <i># of funds in category</i>	TRMOX	Target Date	-	-	-	-	—	-	-	3.47	✔️	—	✔️	0.46	✔️	3	1-Low	-
			246	170	11	-								02-29-2024	02-15-2022	-		

Balanced

Vanguard LifeStrategy Cnsvr Gr Inv <i>percentile rank</i> <i># of funds in category</i>	VSCGX	Target Risk	10.23	1.29	4.70	4.85	✔️	10.14	1.03	2.10	✖️	—	✔️	0.12	✔️	3	1-Low	-
			43	62	51	25								02-29-2024	07-19-2023	-		
Vanguard LifeStrategy Growth Inv <i>percentile rank</i> <i># of funds in category</i>	VASGX	Target Risk	18.37	4.75	8.92	7.81	✔️	14.51	1.02	2.10	✖️	—	✔️	0.14	✔️	3	1-Low	Low Risk
			42	51	33	26								02-29-2024	07-19-2023	12-31-2022		
Vanguard LifeStrategy Income Inv <i>percentile rank</i> <i># of funds in category</i>	VASIX	Target Risk	6.26	-0.49	2.49	3.25	✔️	8.20	1.02	2.10	✖️	—	✔️	0.11	✔️	4	1-Low	-
			68	83	68	46								02-29-2024	07-19-2023	-		
Vanguard LifeStrategy Mod Gr Inv Shrs <i>percentile rank</i> <i># of funds in category</i>	VSMGX	Target Risk	14.24	3.02	6.83	6.36	✔️	12.29	1.00	2.10	✖️	—	✔️	0.13	✔️	3	1-Low	Low Risk
			57	71	64	51								02-29-2024	07-19-2023	12-31-2022		