

UPPER BAY 403(B) PLAN

Screens Summary (Data as of 03-31-2025)

⊖ Fund Removed from Approved List

⚠ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk							Management		Style		Expense		ESG Metrics			
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating	Commit. Level	Carbon Risk	
																	Updated	Updated	Updated
US Stock																			
T. Rowe Price Dividend Growth percentile rank # of funds in category	PRDGX	Large Blend	7.38 35 1,457	7.58 62 1,331	15.89 78 1,218	11.44 45 912	🟢	14.88	0.81	25.02	🟢	🇺🇸	🟢	0.64	🟢	3	—	Low Risk	
Vanguard 500 Index Admiral percentile rank # of funds in category	VFIAX	Large Blend Index	8.21 20 1,457	9.02 24 1,331	18.55 24 1,218	12.46 8 912	🟢	17.31	1.00	7.34	🟢	🇺🇸	🟢	0.04	🟢	3	1-Low	Low Risk	
Fidelity Contrafund percentile rank # of funds in category	FCNTX	Large Growth	10.57 7 1,103	13.09 1 1,052	20.01 12 972	14.36 15 759	🟢	18.60	0.87	34.56	🟢	🇺🇸	🟢	0.63	🟢	1	1-Low	Low Risk	
American Funds Washington Mutual R6 percentile rank # of funds in category	RWMGX	Large Value	9.70 8 1,457	9.65 15 1,331	18.07 41 1,218	11.70 38 912	🟢	14.37	0.80	27.77	🟢	🇺🇸	🟢	0.26	🟢	2	1-Low	Low Risk	
Neuberger Berman Mid Cap Growth Inst percentile rank # of funds in category	NBMLX	Mid-Cap Growth	-1.30 35 509	3.15 33 493	13.09 34 459	9.00 39 369	🟢	21.94	0.97	5.21	🟢	🇺🇸	🟢	0.69	🟢	3	—	Medium Risk	
American Century Mid Cap Value I percentile rank # of funds in category	AVUAX	Mid-Cap Value	5.99 18 424	4.41 56 397	15.11 82 373	8.22 35 285	🟢	16.74	0.81	21.01	🟢	🇺🇸	🟢	0.78	🟢	3	1-Low	Medium Risk	
MFS New Discovery R4 percentile rank # of funds in category	MNDJX	Small Growth	-7.68 74 559	-1.95 72 543	8.44 86 528	7.56 47 398	🟢	20.86	0.85	11.25	🟢	🇺🇸	🟢	1.03	🟢	4	—	Medium Risk	
Macquarie Small Cap Value Instl percentile rank # of funds in category	DEVIX	Small Value	-0.80 28 503	1.52 66 482	16.12 66 456	6.65 43 365	🟢	22.89	0.94	12.76	🟢	🇺🇸	🟢	0.85	🟢	3	—	Medium Risk	
Non-US Stock																			
American Funds New World R6 percentile rank # of funds in category	RNWGX	Diversified Emerging Mkts	3.31 68 787	3.31 25 724	10.83 24 646	6.44 3 439	🟢	15.36	0.78	25.81	🟢	🇺🇸	🟢	0.57	🟢	3	1-Low	Medium Risk	
American Funds Intl Gr and Inc R6 percentile rank # of funds in category	RIGGX	Foreign Large Blend	5.25 66 761	6.28 34 706	11.90 44 662	5.30 50 445	🟢	16.15	0.97	16.51	🟢	🇺🇸	🟢	0.54	🟢	3	1-Low	Low Risk	
Vanguard Developed Markets Index Admiral percentile rank # of funds in category	VTMGX	Foreign Large Blend Index	4.73 75 761	5.31 56 706	12.15 36 662	5.60 33 445	🟢	17.95	1.09	12.11	🟢	🇺🇸	🟢	0.05	🟢	3	1-Low	Low Risk	
Invesco Oppenheimer International Gr Y percentile rank # of funds in category	OIGYX	Foreign Large Growth	-5.17 87 392	1.79 61 372	8.16 69 338	4.29 80 218	🟢	20.54	1.17	13.01	🟢	🇺🇸	🟢	0.86	🟢	4	—	Low Risk	
Vanguard International Value Inv percentile rank # of funds in category	VTRIX	Foreign Large Value	1.59 97 369	4.54 89 347	11.60 81 323	4.65 67 230	🟢	17.13	0.99	14.85	🟢	🇺🇸	🔴	0.36	🟢	3	—	Medium Risk	

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																Updated	Updated	Updated
American Funds New Perspective R6	RNPGX	Global Stock	5.96	5.89	15.61	10.74	✓	17.66	1.07	24.35	✓	—	✓	0.41	✓	3	2-Basic	Low Risk
percentile rank			22	36	16	14												
# of funds in category			342	328	280	196										02-28-2025	02-15-2022	12-31-2022

Alternative

PIMCO Commodity Real Return Strat I2	PCRFX	Commodities Broad Basket	13.15	-2.16	16.88	3.34	✓	14.90	1.15	6.42	✓	—	—	1.10	✓	2	—	—
percentile rank			9	87	39	54												
# of funds in category			110	101	95	67										02-28-2025	—	—
Vanguard Real Estate Index Admiral	VGSLX	Real Estate	9.00	-1.86	9.41	4.85	✓	21.07	1.02	28.90	✓	—	✓	0.13	✓	2	1-Low	Low Risk
percentile rank			47	52	61	50												
# of funds in category			229	221	204	152										02-28-2025	02-15-2022	12-31-2022

Fixed Income

PIMCO International Bond (USD-Hdg) I-2	PFBPX	Global Bond	4.59	2.70	2.07	2.59	✓	4.95	0.54	10.52	✓	—	—	0.85	✓	3	—	—
percentile rank			43	12	14	10												
# of funds in category			109	104	99	60										02-28-2025	—	—
Eaton Vance Income Fund of Boston I	EIBIX	High Yield Bond	6.38	4.73	7.14	4.66	✓	7.74	0.92	10.41	✓	—	—	0.73	✓	—	—	—
percentile rank			66	35	38	23												
# of funds in category			632	600	551	434										—	—	—
BlackRock Inflation Protected Bond Instl	BPRIX	Inflation- Protected Bond	5.81	-0.01	2.70	2.38	✓	7.43	1.02	7.05	✓	—	—	0.84	✖	3	—	—
percentile rank			66	47	30	38												
# of funds in category			158	150	141	117										11-30-2024	—	—
Baird Aggregate Bond Inst	BAGIX	Intermediate- Term Bond	5.22	1.03	0.37	1.86	✓	7.75	1.01	24.52	✓	🇺🇸	—	0.30	✓	4	1-Low	—
percentile rank			28	21	27	16												
# of funds in category			529	470	423	294										02-28-2025	02-15-2022	—
PIMCO Income I2	PONPX	Multisector Bond	7.30	4.53	5.12	4.30	✓	6.54	0.83	18.02	✓	—	—	0.93	✓	4	—	—
percentile rank			31	18	42	6												
# of funds in category			375	346	295	204										02-28-2025	—	—
Federated Hermes Govt Ultrashort IS	FGUSX	Ultrashort Bond	5.43	4.28	2.73	1.91	✖	0.84	0.07	27.74	✓	—	—	0.26	✓	—	—	—
percentile rank			53	71	73	73												
# of funds in category			255	201	183	113										—	—	—

Balanced

BlackRock Global Allocation Instl	MALOX	Global Allocation	3.67	3.53	8.83	5.21	✓	12.10	0.93	8.25	✓	🇺🇸	✖	0.85	✓	3	—	Low Risk
percentile rank			74	48	54	32												
# of funds in category			354	341	325	253										02-28-2025	—	09-30-2022